Survival following allogeneic transplant in patients with myelofibrosis

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Allogeneic hematopoietic cell transplantation (HCT) is the only curative therapy for myelofibrosis (MF). In this large multicenter retrospective study, overall survival (OS) in MF patients treated with allogeneic HCT (551 patients) and without HCT (non-HCT) (1377 patients) was analyzed with Cox proportional hazards model. Survival analysis stratified by the Dynamic International Prognostic Scoring System (DIPSS) revealed that the first year of treatment arm assignment, due to upfront risk of transplant-related mortality (TRM), HCT was associated with inferior OS compared with non-HCT (non-HCT vs HCT: DIPSS intermediate 1 [Int-1]: hazard ratio [HR] = 0.26, P < .0001; DIPSS-Int-2 and higher: HR, 0.39, P < .0001). Similarly, in the DIPSS low-risk MF group, due to upfront TRM risk, OS was superior with non-HCT therapies compared with HCT in the first-year post treatment arm assignment (HR, 0.16, P = .006). However, after 1 year, OS was not significantly different (HR, 1.38, P = .451). Beyond 1 year of treatment arm assignment, an OS advantage with HCT therapy in Int-1 and higher DIPSS score patients was observed (non-HCT vs HCT: DIPSS-Int-1: HR, 2.64, P < .0001; DIPSS-Int-2 and higher: HR, 2.55, P < .0001). In conclusion, long-term OS advantage with HCT was observed for patients with Int-1 or higher risk MF, but at the cost of early TRM. The magnitude of OS benefit with HCT increased as DIPSS risk score increased and became apparent with longer follow-up.

Methods

Study objective

The primary objective was an OS comparison between HCT and non-HCT therapy in MF when stratified by DIPSS risk status. Secondly, the patients’ disease and treatment-related factors were evaluated for association with differences in survival.

Data source

For the HCT cohort, data were retrospectively obtained from the Center for International Blood and Marrow Transplant Research (CIBMTR) from years 2000 to 2014. The CIBMTR represents an international network of >450 transplant centers that has collected HCT outcomes data for >45 years, resulting in a research database on >475 000 patients. CIBMTR captures data including, but not limited to, baseline recipient and donor characteristics, transplant outcomes, and follow-up data at day +100, day +180, and annually after HCT.

For the non-HCT cohort, data were retrospectively obtained from the Myeloproliferative Neoplasm Research Consortium and its affiliates (a total of 14 academic centers; see supplemental Table 1) between years 2000 and 2014 after approval from institutional review boards of each participating study center. The Myeloproliferative Neoplasm Research Consortium is an interactive group of laboratory and clinical scientists from 11 institutions who work in a coordinated fashion to develop and evaluate therapeutic strategies that will improve the survival of patients with MF.

Study population

In the HCT cohort, patients with MF (either primary, postpolycythemia, or post–essential thrombocythemia MF) who received an HCT were included. Patients receiving HCT from an HLA-identical sibling

Key Points

- Survival after HCT vs non-HCT therapeutic options was compared in patients with MF, with results stratified by DIPSS risk.
- A long-term survival advantage of HCT was observed in patients with Int-1 or higher risk MF, but at the cost of potential early mortality.

Introduction

Myelofibrosis (MF) is a myeloproliferative neoplasm characterized by clonal myeloid proliferation, extramedullary hematopoiesis, peripheral cytopenias, bone marrow fibrosis, and heterogeneous symptom burden.1,2 With the discovery of the JAK2V617F driver mutation in 2005 and subsequent innovation of JAK inhibitors, the therapeutic landscape has evolved and led to significant improvements in the clinical care of MF patients.3-6 Despite improvements in patients’ symptom burden, splenomegaly, and potentially overall survival (OS) with JAK inhibitor therapy, allogeneic hematopoietic cell transplantation (HCT) remains the only curative therapy.7,8 The morbidity and mortality associated with transplant therapy underscores the importance of appropriate patient selection for HCT.9-13 Currently, prognostic stratification is a key clinical feature of transplant assessment in patients with MF. Multiple prognostic scoring systems have assisted clinical decision-making over the years, with more under development.14-20 The International Prognostic Scoring System (IPSS) employs risk factors, including age >65 years, hemoglobin <10 g/dL, white blood cell count >25 × 10⁹/L, circulating blasts ≥1%, and the presence of constitutional symptoms.14 The IPSS highlighted that median survival varies widely from 135 months in those with low risk disease to 27 months in those with high-risk disease. While the IPSS is a useful prognostic estimator at the time of diagnosis, the Dynamic IPSS (DIPSS) is a similar prognostic estimator but may be used at any point during the disease course.15 The DIPSS Plus has added risk factors such as thrombocytopenia, transfusion dependence, and unfavorable karyotype.16 Contemporary prognostic models incorporate clinical, cytogenetic, and mutation data and advance MF prognostication.16,19,21 While emerging prognostic tools and clinical guidelines are useful to facilitate appropriate patient selection for HCT, there is no prospective and randomized data comparing HCT to non-HCT therapies to guide clinical decision-making. This study reports on survival outcomes of HCT vs non-HCT therapy, stratified by DIPSS risk score, in the largest and most inclusive retrospective analysis performed to date.
or well-matched/partially matched unrelated donor after an ablative, nonmyeloablative, or reduced intensity conditioning were included. Patients who were aged ≥20 years and <70 years at the time of transplant were included. Patients who received umbilical cord blood HCT, syngeneic HCT, or haploidentical HCT or patients who have transformed to secondary acute myeloid leukemia prior to HCT were excluded (n = 63).

In the non-HCT cohort, patients with MF (either primary, post-polycythemia, or post–essential thrombocythemia MF) who were ≥20 years and <70 years were included. Those in the non-HCT cohort who were only observed and never on medical treatment were excluded, because they are likely not the ideal comparator for the HCT cohort.

**Study variables**

Patient-related variables, including age at diagnosis and HCT, race, and Karnofsky performance status (KPS), were collected. Disease-related variables, including diagnosis of primary vs secondary MF, DIPSS at HCT or time of initial treatment/referral to academic center, cytogenetics (favorable: normal karyotype; 13q−, 20q−; unfavorable: complex aberrations or noncomplex abnormalities, including +8, −7/7q−, i(17q), −5/5q−, 12p−, inv(3), or 11q23 rearrangements), and presence/absence of the JAK2V617F were captured. Treatment was recorded, including type and number of medical therapies, HLA matching, graft source, conditioning regimen, total body irradiation delivery, and graft-versus-host disease (GVHD) prophylaxis. Time from diagnosis to HCT or time of initial treatment/referral to academic center, date of death, or date of last contact was collected.

**Statistical analysis**

A descriptive analysis comparing the HCT and the non-HCT cohorts was performed. Medians, ranges, and percentages of total numbers were evaluated for categorical variables. The hazard ratio (HR) for OS between the HCT and the non-HCT cohorts were compared using the Cox proportional hazards model. The reference time point was DIPSS assessment at time of initiation of medical treatment or time of referral to academic medical center (if time of initiation of medical treatment was unknown) for the non-HCT cohort. The reference time point was DIPSS assessment at the time of transplant for the HCT cohort. The proportional hazards assumption of the Cox model was examined by testing a time-varying effect for each variable. A time-dependent effect was detected for the main effect, and a 12-month breakpoint of posttherapy initiation/referral or transplant was found optimal based on the maximized partial likelihood method to make sure the proportional hazards assumption held within each time period.

A stepwise model selection approach was then used to identify all significant risk factors at a significance level .01. Potential interactions between the main effect and significant risk factors was tested. Adjusted OS probabilities were calculated to compare the non-HCT cohort and the HCT cohort after adjusting for the significant variables in the final Cox model. Adjusted OS was obtained at 5 and 10 years. Multiple imputation with 10 imputations was used to impute missing DIPSS risk scores and then confirmed with the final Cox model using the assumption that missing data are missing at random. The variables tested in the multivariable regression analysis included age at DIPSS assessment, race, KPS, primary vs secondary MF, ruxolitinib given as prior treatment, time from diagnosis to DIPSS assessment, and year of DIPSS assessment. Center effect was examined using the score test of homogeneity through the frailty proportional hazards model with random effects. The final Cox model was also fitted for each of the DIPSS risk scores as a subset analysis. To investigate whether lead time bias affected the main model given the fact that HCT patients were guaranteed survival up to the point in which they underwent transplant, a sensitivity analysis was performed restricting the time between diagnosis and transplant to 12 months for the HCT cohort. All analyses were performed at a significance level .01 using SAS 9.4 (SAS Institute, Cary, NC).

**Results**

**Patient descriptive analysis**

We identified a total of 551 MF patients who underwent HCT and 1377 MF patients treated with non-HCT therapy that met eligibility criteria. See Table 1 for patient characteristics. Diagnosis included primary MF in 463 patients (84%) in HCT group and 894 patients (65%) in non-HCT group. Among the HCT cohort, 74.6% were from the United States, while all the patients in the non-HCT cohort were from US centers. The JAK2V617F mutation was detected in 21% of HCT patients and 55% of non-HCT patients, and JAK2V617F mutation data were unavailable in 64% and 14% of patients, respectively. Other mutational analysis was not available. DIPSS risk at time of transplant was low in 82 patients (15%), intermediate 1 (Int-1) in 248 patients (45%), Int-2/high in 186 patients (34%), and missing in 35 patients (6%). In the non-HCT patients, DIPSS risk was low in 165 patients (12%), Int-1 in 537 patients (39%), Int-2/high in 389 patients (28%), and missing in 286 patients (21%). Among the 1377 non-HCT patients, 88 (6%) reported subsequently proceeding to HCT. The median time from diagnosis to HCT was 19 months (range, 2–360) in the HCT group, and the median time from diagnosis to referral was 2 months (<−383) in the non-HCT group. The median follow-up time of survivors since the reference time point was 72 months (range, 3–193) for HCT patients and 63 months (<1–208) for non-HCT patients.

**Transplant descriptive analysis**

Donor types included well-matched unrelated (8/8 match) in 47% of patients, HLA identical sibling/related in 38%, and partially matched unrelated in 15%. Peripheral blood stem cell graft source was used in 85% of patients, while bone marrow was used in 15%. The transplant conditioning regimens were myeloablative conditioning in 51% of patients, reduced intensity in 41%, nonmyeloablative in 7%, and unknown in 2%. Total body irradiation conditioning was employed in 20% of conditioning regimens. Antithymocyte globulin was used in 36% of patients, and alemtuzumab was used in 3%.

**Survival analysis**

The probability of survival for the 4 subgroups (DIPSS risk: low, Int-1, Int-2, and high) with HCT vs non-HCT therapy is depicted in Figure 1. In the DIPSS low-risk group, OS was superior with non-HCT therapies compared with HCT in the first-year posttreatment arm assignment, likely due to upfront transplant-related mortality (TRM) risk. However, after 1 year, OS was not significantly different (non-HCT: HR, 0.16; 95% confidence interval [CI], 0.04–0.59; P = .006 at <12 months; and HR, 1.4; 95% CI, 0.60–3.20; P = .45 at >12 months; Figure 1A). In the DIPSS Int-1 risk group, an OS
Table 1. Descriptive characteristics for patients with MF

<table>
<thead>
<tr>
<th>Variable</th>
<th>HCT (n = 551)</th>
<th>Non-HCT (n = 1377)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Number of centers</td>
<td>138</td>
<td>14</td>
</tr>
<tr>
<td>Time of diagnosis to treatment, mo</td>
<td>19</td>
<td>2</td>
</tr>
<tr>
<td>Median follow up time of survivors, mo</td>
<td>72</td>
<td>63</td>
</tr>
<tr>
<td>JAK2 Origin, %</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Cytogenetics, %</td>
<td></td>
<td></td>
</tr>
<tr>
<td>DIPSS at HCT/referral, %</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Median age at HCT/referral, y</td>
<td>55</td>
<td>61</td>
</tr>
<tr>
<td>Conditioning intensity, %</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Number of prior therapies, %</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Prior therapy, %</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Conditioning intensity, %</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Donor type</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Unless otherwise indicated, the data are presented as percentage of patients.

MAC, myeloablative conditioning; NMA, nonmyeloablative; RIC, reduced intensity conditioning.

advantage was present for HCT vs non-HCT therapies, but this OS advantage was only observed beyond 1 year of treatment arm assignment (due to high risk of TRM in the first year post-HCT) (non-HCT vs HCT: HR, 2.64; 95% CI, 1.76-3.98; P < .0001 at <12 months; non-HCT vs HCT: HR, 0.26; 95% CI, 0.17-0.39; P ≤ .001 at >12 months; Figure 1B). Similarly, in those with DIPSS Int-2 and high-risk MF, OS was superior in HCT cohort compared with non-HCT therapies but only observed beyond 1 year of treatment arm assignment (again due to high risk of TRM in the first year post HCT) (non-HCT vs HCT: HR, 2.55; 95% CI, 1.66-3.90; P < .0001 at <12 months; non-HCT vs HCT: HR, 0.39; 95% CI, 0.27-0.57; P < .0001 at >12 months; Figure 1C). Across all risk groups, there was a OS advantage observed with non-HCT therapies in the first year of treatment arm assignment (due to high risk of TRM in the first year post HCT) (non-HCT vs HCT: HR, 0.33; 95% CI, 0.26-0.41; P < .0001); however, OS was improved beyond 1 year of treatment arm assignment with HCT (non-HCT vs HCT: HR, 2.11; 95% CI, 1.66-2.69; P < .0001; Figure 1D).

By multivariable Cox regression, KPS <90% (HR, 1.71; 95% CI, 1.49-1.98; P < .0001), DIPSS Int-1 or higher (Int-1: HR, 2.24; 95% CI, 1.69-2.97; P < .0001; Int-2: HR, 3.33; 95% CI, 2.50-4.43; P < .0001; high: HR, 5.67; 95% CI, 3.81-8.44; P < .0001), and unfavorable cytogenetics (HR, 1.45; 95% CI, 1.21-1.74; P < .0001) were associated with inferior survival in all patients. Prior ruxolitinib therapy was associated with increased survival (HR, 0.53; 95% CI, 0.44-0.63; P < .0001) (Table 2). The adjusted survival rate at 12 months after adjusting DIPSS, KPS, cytogenetics, and ruxolitinib was 68% (64%-72%) for the HCT group and 87% (85%-89%) for the non-HCT group based on a stratified Cox model.

The impact of HCT vs non-HCT on survival was consistent whether patients received ruxolitinib or not (P value for interaction = .18). Evaluation of the impact of year of referral/HCT on survival (ie, before 2012 vs after 2012, the point at which ruxolitinib was commercially available) revealed no significant association with survival (P = .38). Analysis after excluding partially matched donors revealed no changes to the results (supplemental Table 3). Center effect was not significant (P = .02).

To investigate whether lead time bias affected the main model given the fact that HCT patients were guaranteed survival up to the point in which they underwent transplant, a sensitivity analysis was performed restricting the time between diagnosis and transplant to 12 months for the HCT cohort. This analysis revealed results consistent with main survival results as reported in survival analysis, suggesting minimal impact of lead time bias with chosen reference time point (supplemental Table 4).

Adjusted OS was evaluated at 5 and 10 years (supplemental Table 5). For the entire cohort not stratified by DIPSS, 10-year adjusted OS was 47% (95% CI, 42% to 52%) and 35% (95% CI, 51% to 38%) for HCT and non-HCT (P ≤ .0001), respectively. Cause of death in HCT patients within the first year included GVHD (23.8%), relapse of primary disease (21.1%), organ failure (15.7%), infection (14.1%), acute respiratory distress (8.1%), graft failure (3.8%), secondary malignancy (1.6%), and other causes (10.8).

Discussion

We studied survival outcomes in 1928 MF patients following HCT (551 patients) or non-HCT (1377 patients) in the largest retrospective long-term survival analysis performed in MF to date. This study
suggests a long-term survival advantage with HCT for patients with Int-1 or higher risk MF, but with increased early mortality, thus highlighting the need for careful patient selection and continued development of therapies aimed at reducing post-HCT complications.

Long-term survival post-HCT in MF is variable, ranging from 29% to 65% depending on patient and transplant variables, and data are limited.\(^{10,12,13,28-40}\) Non-HCT therapies, including JAK inhibition, are palliative only, and thus, HCT remains the only curative therapy. In 2015, a consensus process guideline was developed by the European Leukemia Net in conjunction with the European Blood and Marrow Transplantation Group guiding allogeneic HCT recommendations in MF.\(^{46}\) In these guidelines, patients with Int-2 or high-risk disease according to IPSS, DIPSS, or DIPSS Plus and age < 70 years should be considered potential candidates for HCT. Patients with Int-1 disease and age < 65 years were recommended to be considered potential candidates if they present with either transfusion-dependent anemia, percentage of blasts in the peripheral blood > 2%, adverse cytogenetics as defined by the DIPSS Plus classification, or high molecular risk (ie, JAK2/CALR/MPL negative, ASXL1 positive).\(^{46}\)

The data presented in this study indicate a long-term survival advantage to HCT for patients with DIPSS Int-1 and higher risk MF, independent of transfusion need, blast percentage, or high-risk cytogenetic and molecular features. Clinicians may use the survival curves from this analysis, illustrated in Figure 1, for thorough HCT discussions and joint decision-making. These data propose early consideration for HCT in selected MF patients beginning with Int-1 risk identification and therefore may influence clinical practice.

Although long-term survival was improved with HCT for Int-1 risk and higher disease, this was at the cost of early TRM. The most common causes of nonrelapse early (< 12 months) TRM were GVHD (23.8%), organ failure (15.7%), and infection (14.1%). Although great progress in management of HCT-related morbidity has occurred in recent years, continued advancement of GVHD, infectious, and supportive care therapy is still needed.\(^{47}\)
decision-making was recommended for Int-1 disease and higher, supporting a stronger recommendation vs secondary MF on survival is unknown.50 Unfavorable cytogenetic differences between the clinical-pathologic characteristics between patients in HCT vs non-HCT cohorts must also be considered. The median age of the transplant cohort was younger (55 years) compared with the nontransplant cohort (61 years). There were more white patients in the HCT cohort than the non-HCT cohort; this difference may be partially explained by the exclusion of cord and haploidentical transplant, as ethnic minorities remain underrepresented in donor registries. There was a higher proportion of secondary MF patients in the non-HCT group (35%) vs those receiving transplant (16%); however, the impact of primary vs secondary MF on survival is unknown.50 Unfavorable cytogenetics in the non-HCT group were 16% vs 14% in the HCT group. Therefore, it is recognized the groups are different and survival analysis is potentially impacted. However, we controlled for these observed imbalances in the Cox regression analysis.

The significant differences between the clinical-pathologic characteristics between patients in HCT vs non-HCT cohorts must also be considered. The median age of the transplant cohort was younger (55 years) compared with the nontransplant cohort (61 years). There were more white patients in the HCT cohort than the non-HCT cohort; this difference may be partially explained by the exclusion of cord and haploidentical transplant, as ethnic minorities remain underrepresented in donor registries. There was a higher proportion of secondary MF patients in the non-HCT group (35%) vs those receiving transplant (16%); however, the impact of primary vs secondary MF on survival is unknown.50 Unfavorable cytogenetics in the non-HCT group were 16% vs 14% in the HCT group. Therefore, it is recognized the groups are different and survival analysis is potentially impacted. However, we controlled for these observed imbalances in the Cox regression analysis.

The strength of our study is in the use of a Cox survival analysis that allows for adjustment of covariates that likely govern the decision to proceed to HCT (eg, age, KPS, and DIPSS score). We determined that the ideal time to start the clock is at the time of DIPSS assessment. This was at time of transplant for the HCT group and at time of initiation of medical treatment or referral to academic medical center (if time of initiation of medical treatment is unknown) for the non-HCT arm. With this start time, the question the current study addresses is which intervention (HCT or non-HCT) provides superior OS for the HCT cohort revealing no significant change in the survival analysis. Thus, lead time bias is estimated to have had little effect on the survival analysis.

Another important consideration is the introduction of JAK inhibitor therapy, which became commercially available in the United States in 2012. We reviewed the impact of ruxolitinib with a multivariate analysis, which revealed decreased risk of death with ruxolitinib therapy (HR, 0.53; 95% CI, 0.44-0.63; P < .0001), consistent with long-term follow up results of the COMFORT analyses.7 However, the impact of HCT vs non HCT on survival was consistent whether patients received ruxolitinib or not (P value for interaction = .018).

Table 2. OS with multivariable regression analysis

<table>
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<th>HR</th>
<th>95% CI</th>
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<td>HCT</td>
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<td>Non-HCT</td>
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Several limitations of the current study are recognized. The current study and all retrospective analyses are burdened with the inherent potential for bias and data-quality issues. A major limitation in this study is lack of clear explanation of why patients were offered non-HCT treatment modalities, including no data regarding the patient’s comorbidities, raising concern of comparison between HCT and non-HCT groups in the absence of this data. DIPSS score was missing in 21% of non-HCT and 6% HCT; thus, more imputation was necessary in the non-HCT arm. The current study is also limited in molecular analysis, a major handicap in the modern era. It is now recognized that the application of molecular and genetic data to prognostic stratification models represents the future of personalized therapy and transplant decision-making for MF. Additionally, there was lack of comparative quality of life data, an important element in transplant consideration. The impact of splenomegaly, splenectomy, or splenic radiation was also not examined in this analysis. The effect of splenomegaly on transplant outcomes is debated, with some studies revealing a negative effect, others finding no effect, and others with even a threefold increase in relapse rate after HCT. Additionally, the applicability of DIPSS prognostic score, which was developed originally in cohorts of patients with primary MF, is unknown in secondary MF and requires further elucidation. New prognostic schemas specifically in secondary MF patients are being described. The retrospective nature of this study is another limitation, but a randomized study comparing HCT to non-HCT therapies is not likely to be performed in the United States. Thus, this retrospective survival analysis is important for the practicing clinician and may be useful to guide clinical practice.

In conclusion, this multicenter study evaluating survival of 1928 MF patients with HCT vs non-HCT over a median of 6 years follow-up is one of the largest and most inclusive survival analyses performed in MF. Our study suggests consideration of HCT in the setting of DIPSS Int-1–risk MF, supports the accepted recommendation for HCT in DIPSS Int-2/high-risk MF, and highlights the need for improved supportive care strategies in MF in the early post-HCT period.

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