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Caregiver distress in parkinsonism

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Abstract—This study examined the frequency and degree of caregiver burden in persons with parkinsonism, a group of disorders with four primary symptoms that include tremor, rigidity, postural instability, and bradykinesia. We assessed associations between perceived caregiver burden and physical, cognitive, and functional impairments using well-established tools for persons with parkinsonism. The 49 individuals with parkinsonism ranged in age from 61 to 87 (mean = 75), while their caregivers (N = 49) ranged in age from 48 to 83 (mean = 70). The caregivers were predominantly either wives (82%) or daughters (6%), with other family members, friends, and/or neighbors (12%) making up the rest. The caregivers reported a relatively high ability for coping (mean scores = 4.6/6). Caregiver burden was significantly negatively associated with activities of daily living and motoric difficulties as measured on the Unified Parkinson’s Disease Rating Scale (UPDRS). Likewise, caregiver burden was negatively associated with caregiver self-reported sleep and coping ability. Results did not demonstrate an association on the UPDRS among mentation, behavior, and mood. We found a significant negative correlation for mentation between the Folstein Mini-Mental Status Examination and caregiver burden measures; however, we did not find this association with the Dementia Rating Scale-2. Patient’s self-reported pain and caregiver burden were not associated.

INTRODUCTION

The patient with a chronic debilitating disease frequently becomes increasingly reliant on a caregiver to assist with a multitude of daily tasks. Formal caregivers are paid helpers, while informal caregivers are unpaid friends or family members. According to Kasuya et al., “Informal or lay caregiving is the act of providing assistance to an individual with whom the caregiver has a personal relationship” [1, p. 119]. Caregivers are an intrinsic part of the patient’s life and serve a useful role in the medical treatment process. As Ham has noted [2], the caregiver, as the single individual involved in the care of

Key words: aging parents, burnout, caregiver burden, caregiver distress, caregiver strain, disease progression, family burden, parkinsonism, Parkinson’s disease, spousal support.
the individual over the entire course of the disorder, may
elect to be, or may essentially evolve into, the effective
leader of the caring team. At home, informal caregivers
help the patient with safety, medication compliance,
activities of daily living (ADL), and social involvement.
At the medical facility, informal caregivers can serve as
accurate historians, first-hand observers of the patient’s
reactions to medications and treatments, and clarifiers of
patient communication to medical personnel. Thus, they
help the medical team obtain accurate and reliable infor-
mation and they ensure the appropriateness of the home
environment for the patient. For these reasons, suppor-
ting the functioning of caregivers is vital to the successful
medical management and return to the community of
individuals with chronic illness and disability.

The very nature of the caregiving role creates consid-
erable stress or burden. Kasuya et al. described caregiver
burden as “the strain or load borne by a person who cares
for an elderly, chronically ill, or disabled family member
or other person. . . the point where the experience is no
longer a viable or healthy option for either the caregiver
or the person receiving care” [1, p. 119]. The authors fur-
ther recognized a multitude of factors contributing to this
burden to include physical, psychological, social, finan-
cial, and emotional stressors. As further noted, the shift
in modern medical care to ambulatory/outpatient modes
has increased the responsibilities of the patient’s family
caregivers [1]. Most families relinquish the caregiver
function and accede to institutional care only in the ter-
mal phases of an illness, if ever.

The issues of caregiver burden can affect the patient.
At least two studies have suggested that the caregiver’s
sense of burden affects both the patient’s functionality
and adjustment to Parkinson’s disease (PD) [3–4]. In this
regard, McFall and Miller identified three factors that
predict nursing home placement within a 2-year period,
namely, advanced age, race (white), and instrumental
ADL impairment [5]. The presence of these factors may
suggest a link between individual caregiver “burnout”
and placement of the individual with PD. Kasper et al.
found that when a caregiver reached “absolute burnout,”
only 50 percent of patients were subsequently placed in
an institution [6]. For the other half of the patients, other
caregivers emerged and took over care. Even so, care-
giver burden reduction (or “burnout” prevention) is well
worth the time and effort, both in human and economic
terms.

Data indicate that in most chronic illness cases in the
United States, relatives rather than paid caregivers or insti-
tutional providers render care to patients [7]. The monetary
implications of this finding are immense. The Parkinson’s
Action Network has calculated a cost for nursing facility
care or hospitalization of $100,000 annually per patient,
therefore, emphasizing the caregiver’s economic impor-
tance in maintaining the individual with PD in the home
setting. Given the estimated prevalence of PD of between
500,000 and 1,500,000 in the United States and an annual
incidence of 50,000 new cases, caregiving costs are clearly
extensive [8–9]. While calculations of these costs are
imprecise, the burden of nursing home stays, hospitaliza-
tions, and related medical care via public entitlements,
such as Medicaid and Medicare, is considerable. Addition-
ally, the hidden costs of caregivers’ inability to work, dis-
allowing their own income generation and paying of taxes,
must be considered.

Although studies have demonstrated that caregiver
burden exists in those caring for either predominantly
physically ill patients (e.g., cancer, amyotrophic lateral
sclerosis) or patients with cognitive impairments (e.g.,
traumatic brain injury, Alzheimer’s disease), Sanders-
Dewey and colleagues noted that the study of caregiving
factors in PD, which typically causes a combination of
physical and cognitive deficits, has received little atten-
tion [10]. Because of the significant finding of increased
caregiver burden in friends and family members of indi-
viduals with Alzheimer’s disease [11–13], a similar
increased burden would be likely in caring for individuals
with PD. Supporting this concept, two recent studies dem-
onstrated that caregiver burden in PD is associated with
patient functional status, depressive symptoms, time since
onset of PD, and other health-related issues [14–15]. Con-
sequently, a sharper focus on the burden experienced by
PD caregivers appears warranted.

The hallmarks of the most common form of parkin-
sonism, idiopathic PD, are bradykinesia, resting tremor,
rigidity, festinating gait, and postural instability. As PD
progresses, cognitive impairment is more likely, with
dementia in the end stages often apparent. While the
motor impairment emblematic of parkinsonism is the
most notable symptom to the casual observer, some
research suggests that cognitive, communication, and
other less obvious problems associated with the disease
progression create the most strain on family caregivers. In
this vein, two Norwegian studies concluded that mental
symptoms of PD patients were a major if not the strongest
factor in creating caregiver distress [16–17]. Still other studies implicate motor impairment, nocturnal pain or cramping, and sleep fragmentation with caregiver distress [18]. While the exact determining factors in caregiver burden may be unclear, little doubt exists that the progressively debilitating nature of parkinsonism creates heavy and increasing demands on informal caregivers.

Caregiver burden is obviously an intrinsic yet understudied aspect of caring for persons with parkinsonism (PWP). Parkinsonism is the name given to a group of disorders (PD being one of them) with similar features with four primary symptoms, including tremor, rigidity, postural instability, and bradykinesia, resulting from the loss of dopamine-producing brain cells. Understanding the nature and mediators of caregiver burden would allow clinicians to more effectively treat caregivers and maintain them in the vital role of caring for PWP. In this study, we hypothesized that informal caregivers of PWP experience a significant amount of burden. Additionally, we hypothesized that disease progression, as measured by either physical or cognitive parameters, would be associated with greater caregiver burden. Finally, we examined the efficacy of a rapid screening tool to identify caregiver burden in those providing care to PD patients.

**METHODS**

The caregivers (N = 49) of all consecutive subjects referred with a movement disorder and seen for an initial or follow-up neuropsychological screening from July 2003 through May 2004 to the Parkinson’s Disease Research, Education, and Clinical Center (PADRECC) at the Hunter Holmes McGuire Department of Veterans Affairs Medical Center (VAMC) in Richmond, Virginia, were included in this study. The PADRECC is a six-site program that began in 2000 and provides multidisciplinary care to all veterans with PD and related movement disorders [19]. We obtained approval for this study from the local VAMC institutional review board.

While all subjects were referred to this specialty clinic with a presumed diagnosis of primary PD, some were later found to not meet criteria; however, they were still included in this investigation because they met the criteria for parkinsonism. Because of the advanced age of this patient population, secondary comorbidities often existed and may have added to caregiver burden. During the initial evaluation of subjects, the identified primary informal caregiver was administered measures of caregiver burden as part of the standard clinical protocol. In addition to these measures, caregiver demographic information was obtained, along with self-reported number of sleep hours, health rating, and general coping level. All information was deidentified and password-protected when inputted into the database.

**Instruments**

**Caregiver Burden Instruments**

**Zarit Burden Inventory.** The Zarit Burden Inventory (ZBI) is a 22-item questionnaire with responses ranging from 1 to 4 and a total score range of 0 to 88 [20]. No cutoff scores have been established, but higher scores reflect higher caregiver burden.* It is often used in the relevant literature as a measure of caregiver burden [21–22]. Each caregiver completed the ZBI in approximately 15 minutes.

**Caregiver Distress Scale.** The Caregiver Distress Scale (CDS) is a visual analogue scale (VAS) ranging from 1 to 10, with anchor points of No Stress (0), Moderate Stress (5), and Overwhelming Stress (10), that was developed in conjunction with the Richmond VAMC PADRECC program. The caregivers were asked to mark the scale at a point that best described their perceived degree of distress. The following supplementary information was also requested as part of the CDS. Two 6-point Likert scales were developed for the caregivers to rate their own health and general sense of coping. The caregivers were also asked to indicate how many hours they slept each night. Space for narrative comments regarding score selection factors and ways to lessen the burden was also provided. Words in the instruments were deleted if the investigators perceived them to be pejorative. Examples of such words are “burden” and “strain.” Each caregiver completed the CDS within 5 minutes.

**Caregiver Survey.** A caregiver survey is a brief program-specific internal PADRECC questionnaire that establishes the caregiver’s relationship to the patient, current living situation, hours of caregiving provided weekly, need for the presence of a paid care provider, hours of sleep, health status, and coping status.

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*Personal communication, email to William Carne from Steven Zarit referenced Norms ZBI. 2005 Mar 22.*
**Patient Instruments**

**Mini-Mental Status Examination.** The Folstein Mini-Mental Status Examination (MMSE) is probably the most widely used tool to screen for general cognitive impairment caused by dementia in the elderly. The MMSE is a 30-point structured clinician-rated interview scale incorporating pencil-and-paper tasks for assessing nine items: memory, orientation, attention, verbal fluency, nominal aphasia, receptive aphasia plus receptive apraxia, alexia, agraphia, and constructional apraxia [23].

**Dementia Rating Scale-2.** The Dementia Rating Scale-2 (DRS-2) is a brief neuropsychological measure often used for individuals with known or suspected progressive neurocognitive impairment [24]. The DRS-2 has five subscales: attention (8 items), initiation/perseveration (11 items), construction (6 items), conceptualization (6 items), and memory (5 items). Total raw score equals 144.

**Pain Visual Analogue Scale.** The Pain VAS is a 10-point Likert scale measurement collected from each subject at all clinic visits [25].

**Unified Parkinson’s Disease Rating Scale.** The Unified PD Rating Scale (UPDRS) is accepted mostly for use in clinical research and drug trials that follow the longitudinal course of PD [26–28]. It is divided into four parts or scales: (1) mentation, behavior, and mood; (2) ADL; (3) motor; and (4) complications of therapy. In its entirety, UPDRS provides an overall assessment that quantifies all motor and behavioral aspects of PD. Each item is scored on scales ranging from 0 to 2 and from 0 to 4. A total of 16 points is possible on 4 items for mentation, behavior, and mood; 52 points for ADL on 13 items; 108 points for (bilateral) motor examination on 14 items; and 23 points for complications of therapy on 11 items. A score of 199 represents maximum (or total) disability, while 0 represents no disability.

**Modified Hoehn and Yahr Staging Scale.** As the fifth part of the original UPDRS, the modified Hoehn and Yahr (H&Y) Staging Scale estimates disease staging [26,29]:
- **0**—No evidence of disease.
- **1.0**—Unilateral disease only.
- **1.5**—Unilateral disease plus axial involvement.
- **2.0**—Bilateral mild disease without impaired balance.
- **2.5**—Mild bilateral disease with recovery on pull test.
- **3.0**—Mild-to-moderate bilateral disease with some postural instability but physically independent.
- **4.0**—Severe disease, but still able to walk or stand unassisted.
- **5.0**—Wheelchair bound or bedridden unless aided.

**Modified Schwab and England Activities of Daily Living Scale.** As the sixth part of the original UPDRS, the modified Schwab and England ADL (S&E ADL) Scale is widely used for assessing disability in performing ADL. It is a percentage scale divided into deciles, with 100 percent representing completely normal function and 0 percent total helplessness [26].

**Patient and Caregiver Instruments**

We gathered demographics regarding caregiver race/ethnicity, years of education, relationship to the PWP, and living situation. We gathered caregiver information on number of hours of care provided, help from others (paid or unpaid), and concern over leaving the patient alone. In addition, we collected patient information on driving ability and on whether or not the patient managed his or her own medications and finances.

**Statistical Analysis**

Data were analyzed with Statistical Package for Social Sciences (SPSS Inc, Chicago, Illinois), version 10.0, for Windows. Mean ± standard deviation (SD) were calculated for each of the measures presented in this article and for continuous demographic variables (e.g., age). We used frequencies to examine categorical demographic variables (e.g., race/ethnicity). Bivariate correlations among the various measures were calculated with the degree of relationship between the indicators investigated through Pearson’s correlation coefficients ($r$). Tests of significance for all correlations were two-tailed, and given the presence of multiple correlations, we selected a more rigorous $p$-value of 0.01 to determine significance. We performed Spearman rank correlation coefficients ($r_s$) to determine associations between patient characteristics (age, race/ethnicity, sex, marital status, level of education, pain, etc.), caregiver characteristics (age, level of education, hours of nightly sleep, perceived coping skills, perceived health status, etc.), patient disease status (i.e., UPDRS, S&E ADL scale, and H&Y scale), cognitive status (i.e., DRS-2 and MMSE), and the amount of caregiver distress reported by the veteran’s primary caregiver (i.e., ZBI and CDS). We also calculated Spearman rank correlation coefficients ($r_s$) to determine the relation between the ZBI and the CDS.
RESULTS

Patient Population Characteristics

The 49 PWPs were all male and ranged from 61 to 87 years old (75.0 ± 5.4, all values are mean ± SD unless otherwise stated). Nearly 88 percent (43 patients) were white and 12 percent (6 patients) were African American (Table 1). The vast majority (n = 39, 80%) of the subjects had idiopathic PD, followed by a small number with essential tremor (n = 4, 8%), combined idiopathic PD with comorbid essential tremor (n = 1, 2%), Lewy body dementia (n = 2, 4%), and other (n = 3, 6%). Only 14 percent were still employed, with over 73 percent on medical or full retirement status. Approximately 84 percent were married, 10 percent widowed, and the remainder either separated (2%) or divorced (2%). One patient’s marital status (2%) was undetermined. The majority (88%) lived with family, while only 2 percent lived alone, 6 percent lived with nonfamily members, and 4 percent failed to identify their living situation. Mean educational level was 11.6 ± 3.5 years (Table 1).

Caregiver Population Characteristics

Caregivers (N = 49) ranged in age from 48 to 83 (70 ± 9.9) and were significantly younger when compared with their partners with parkinsonism (p = 0.001) (Table 1). Caregivers were 59 percent white and 10 percent African American (over 30% elected not to identify themselves by race/ethnicity) and ranged in educational level from 7 to 16 years (11.7 ± 2.5). No difference in education level was found between caregiver-subject pairs. By far, most of the caregivers were either wives (82%) or daughters (6%) (Table 1). Caregivers averaged 6.4 ± 1.5 hours of sleep nightly (range = 3–8) (not shown in Table 1). When asked to scale their health in general on a 6-point Likert-type scale (1 = extremely poor and 6 = extremely good), caregivers scored 4.6 ± 0.8 (range = 3–6). When queried about their general sense of coping with the PD patient on a similar scale (1 = extremely poor and 6 = extremely well), they scored 4.6 ± 0.9 (range = 2–6).

Caregiver burden, as measured by the CDS but not the ZBI, was significantly associated with performance of patients’ ADL (UPDRS Part II and the S&E ADL scale; p < 0.01). These results suggest that burden is associated with higher levels of patient difficulties with ADL. Motoric difficulties (UPDRS Part III) are also significantly associated with caregiver burden. Results did not confirm an association between mentation, behavior, and mood (UPDRS Part I) and caregiver burden (Table 2). In addition, the total DRS-2 score did not correlate with the level of caregiver distress. Analysis also failed to demonstrate an association between the ZBI and CDS to any of the DRS-2 subscales (Table 2). However, the Folstein MMSE did correlate with the ZBI and the CDS (p < 0.01). The MMSE attention subscale negatively correlated with both caregiver burden measures ZBI and CDS (−0.40 and −0.41), and the orientation subscale negatively correlated with only the CDS (−0.48) (Table 3).

Self-reported caregiver hours of sleep (6.4 ± 1.5, p ≤ 0.01) and coping abilities (4.6/6 ± 0.93; p ≤ 0.01) significantly negatively correlated with both measures of caregiver burden. Patient pain ratings, as well as self-reported caregiver health status, did not correlate with caregiver burden (Table 2).

Table 1. Patient and caregiver demographics.

<table>
<thead>
<tr>
<th>Demographic</th>
<th>Patients (N = 49)</th>
<th>Caregivers (N = 49)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Age</td>
<td>Mean ± SD</td>
<td>75 ± 5.4</td>
</tr>
<tr>
<td>Range</td>
<td>61–87</td>
<td>48–83</td>
</tr>
<tr>
<td>Race/Ethnicity (%)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>White</td>
<td>88</td>
<td>59</td>
</tr>
<tr>
<td>African American</td>
<td>12</td>
<td>10</td>
</tr>
<tr>
<td>Unidentified</td>
<td>0</td>
<td>31</td>
</tr>
<tr>
<td>Sex (%)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Male</td>
<td>100</td>
<td>2</td>
</tr>
<tr>
<td>Female</td>
<td>0</td>
<td>98</td>
</tr>
<tr>
<td>Marital Status (%)</td>
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<td></td>
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<tr>
<td>Married</td>
<td>84</td>
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<tr>
<td>Separated</td>
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<tr>
<td>Divorced</td>
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<td></td>
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<tr>
<td>Widowed</td>
<td>10</td>
<td></td>
</tr>
<tr>
<td>Undetermined</td>
<td>2</td>
<td></td>
</tr>
<tr>
<td>Relationship of Caregiver to Patient (%)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Wife</td>
<td>82</td>
<td></td>
</tr>
<tr>
<td>Daughter</td>
<td>6</td>
<td></td>
</tr>
<tr>
<td>Son</td>
<td>2</td>
<td></td>
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<tr>
<td>Daughter-in-Law</td>
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<td></td>
</tr>
<tr>
<td>Significant Other</td>
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<td></td>
</tr>
<tr>
<td>Friend</td>
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<td></td>
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<tr>
<td>Educational Level† (yr)</td>
<td>Mean ± SD</td>
<td></td>
</tr>
<tr>
<td></td>
<td>11.6† ± 3.5</td>
<td>11.7† ± 2.5</td>
</tr>
<tr>
<td>Range</td>
<td>2–19</td>
<td>7–16</td>
</tr>
</tbody>
</table>

* t-value = 3.76, p-value = 0.001.
† t-value = 1.80, p-value = 0.089.
SD = standard deviation.
The measures of caregiver burden used in this study, the ZBI and the visual analogue CDS, were highly and positively correlated ($r = 0.696$, $p < 0.01$) with each other despite different approach measures (verbal/descriptive versus visual).

**DISCUSSION**

This study highlights the significant effect that the progressive physical and cognitive impairments common in parkinsonism have on persons providing care to PWPs. Despite the high prevalence of disability in PWP and the difficulties of caregiver burden reported in conditions with similar multifocal deficits such as Alzheimer’s dementia and traumatic brain injury [11–13,30–32], this study represents one of the first to describe this burden for PWP. An improved understanding of the severity and correlates of caregiver burden in the parkinsonism patient population may allow clinicians to better prioritize treatment strategies for PWP and be more aware of caregivers’ needs.
Many clinicians may assume that a direct association exists between the physical impairments and concomitant caregiver burden of PWPs; however, this study suggests that this association is more complex. While an intuitively logical, significant relationship exists between measures of poorer motor and physical functioning (e.g., UPDRS Part III [motor examination], S&E ADL scale, use of an assistive device) and caregiver burden, a significant association also exists between basic cognitive functioning, as measured by the MMSE and caregiver stress. These results support research by Carter et al. that suggests that cognitive deficits result in significant caregiver burden [27,33].

Interestingly, more specific measures of dementia, and more specifically subcortical dementia (e.g., DRS-2 total score and five individual subscales), do not associate with levels of caregiver burden. Subcortical dementia commonly associated with parkinsonism is often characterized by memory loss with slowness in processing information, maintaining a consistent verbal response set, and responding intellectually. The type and degree of cognitive deficits demonstrated in this study (Table 3) do suggest difficulties with memory and initiation consistent with subcortical dementia as expected. However, the correlates of burden found in this study reflect more difficulties with attention and orientation measured by the MMSE. While these findings are not the typical clinical difficulties associated with PWP, this research suggests they are the deficits that correlate most closely with caregiver burden. Perhaps the inability of the PWP to easily interact with and remain focused on the needs or wishes of caregivers (related to orientation and attention difficulties) provides a greater degree of distress than the more typical subcortical deficits. Anecdotally, caregivers often remark on the communication difficulties created by poor attention and orientation. Because the lack of sensitivity of the more specific DRS-2 is counterintuitive, further investigation will be necessary for clinicians to understand the differences in the cognitive screening aspects of the MMSE and the DRS-2.

We studied two areas of caregiver self-report. The first area, the association of sleep and coping ability to caregiver burden, has important treatment implications. Sleep fragmentation in caregivers may affect both their physical and mental health maintenance. Clinicians need to be aware of and routinely inquire about caregivers’ sleep and make appropriate referrals if necessary. Coping mechanisms similarly warrant detailed attention to help caregivers decrease burden. When clinicians work with caregivers, focusing on self-efficacy can be quite productive. The second area of caregiver self-report studied, health status, did not correlate with burden. This may be related to caregivers who tend to de-emphasize their own well-being in lieu of their loved ones. Of interest, patient pain was not related to caregiver burden. Perhaps, pain may have been manifested with physical limitations rather than voiced complaints given the inherent cognitive and communication difficulties that are commonplace. Finally, this study did not identify age as an additional risk factor, although the small sample size of the younger dyads precluded valid statistical analysis. Further research should be done.

This study represents a preliminary descriptive study of the caregiver burden in consecutively enrolled PWP. Parkinsonism is an array of progressive neurologic conditions with significant variability in clinical manifestations. Because the severity of symptoms may fluctuate because of timing of medications and degree of physical activity, the level of caregiver burden may also fluctuate. Thus, caregiver burden may not be a static phenomenon in this patient population and a longer period of evaluation may be needed. The consecutive enrollment and prospective nature of this study were attempts to overcome subject selection bias; however, this may have been imperfect because more burdened individuals may have been more apt to seek treatment. Additionally, individuals with concurrent medical morbidities may have been more likely to be referred to this tertiary care center and again may have had higher associated caregiver burden. The complex nature of the Veterans Health Administration computerized clinical delivery system allowed an open access referral policy, which limited the gathering of all necessary clinical information (e.g., other relevant past medical or psychiatric history). Consequently, complete medication histories were not always available. While the UPDRS is the “gold standard” for the assessment of PD, it has limitations in interrater reliability. Additionally, significant variations in the UPDRS may have resulted from the “pulsatile” effects of some parkinsonism medications and specific timing of evaluations was not possible. In this study, UPDRS ratings were limited to two specialty-trained neurologists; however, some variability may have occurred. Finally, while the sample population was robust enough to allow for statistical power, the modest number of subjects and the lack of female cohorts may limit the generalizability of these results.
CONCLUSIONS

Awareness of caregiver burden factors is important to the appropriate total management of the PWP. This study suggests that, while caregivers generally report good overall coping abilities, the increased motor impairment seen with PWP, the decreased ability to perform ADLs experienced in PWP, and the decreased sleep time experienced by some caregivers were all associated with greater caregiver burden. In contrast, patient mood factors and level of pain do not appear to be associated with the degree of caregiver burden. Patient mentation and its relationship to caregiver burden is less clear and requires further study.

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REFERENCES


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