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Keywords

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Comments

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A Prospective Controlled Trial of an Electronic Hand Hygiene Reminder System

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Background. The use of electronic hand hygiene reminder systems has been proposed as an approach to improve hand hygiene compliance among healthcare workers, although information on efficacy is limited. We prospectively assessed whether hand hygiene activities among healthcare workers could be increased using an electronic hand hygiene monitoring and reminder system.

Methods. A prospective controlled clinical trial was conducted in 2 medical intensive care units (ICUs) at an academic medical center with comparable patient populations, healthcare staff, and physical layout. Hand hygiene activity was monitored concurrently in both ICUs, and the reminder system was installed in the test ICU. The reminder system was tested during 3 administered phases including: room entry/exit chimes, display of real-time hand hygiene activity, and a combination of the 2.

Results. In the test ICU, the mean number of hand hygiene events increased from 1538 per day at baseline to 1911 per day (24% increase) with the use of a combination of room entry/exit chimes, real-time displays of hand hygiene activity, and manager reports ($P < .001$); in addition, the ratio of hand hygiene to room entry/exit events also increased from 26.1% to 36.6% (40% increase, $P < .001$). The performance returned to baseline (1473 hand hygiene events per day) during the follow-up phase. There was no significant change in hand hygiene activity in the control ICU during the course of the trial.

Conclusions. In an ICU setting, an electronic hand hygiene reminder system that provided real-time feedback on overall unit-wide hand hygiene performance significantly increased hand hygiene activity.

Keywords. electronic monitoring; hand hygiene; intensive care unit; prospective controlled trial.

Hand hygiene is considered one of the most fundamental approaches for the prevention of both the transmission of multidrug-resistant pathogens and healthcare-acquired infections [1–3]. The past 15 years has seen increased attention to this prevention practice, and health organizations spearheading education efforts include the Centers for Disease Control, the

World Health Organization, and The Joint Commission [2–4]. However, despite these efforts, hand hygiene compliance among healthcare workers remains below desired levels. A 2010 systematic review of studies on hand hygiene compliance in hospitals found an overall median compliance rate of 40% [5].

A number of electronic systems and tools have been developed to monitor and promote hand hygiene activity including video monitoring systems, individual healthcare worker electronic devices, and the use of alcohol sensor technology [6–20]. The efficacy of these systems to change healthcare behavior remains uncertain because they have been primarily evaluated in either before-and-after analyses or small uncontrolled trials; both have limited ability to fully assess the efficacy of the systems [6, 7, 15, 16, 18–21]. We have evaluated the utility of an electronic hand hygiene reminder system at an academic tertiary care medical center to promote hand hygiene activity in a prospective controlled

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trial conducted in 2 comparable intensive care units (ICUs). The hand hygiene reminder system was an electronic network of hand hygiene dispensers and room entry-exit monitors linked to an electronic database with active event processing capability to allow for real-time feedback of aggregate hand hygiene activity within an ICU unit.

METHODS

Study Design and Setting

The trial was conducted at an academic medical center within 2 medical ICUs with comparable patient populations, healthcare staff, and physical layouts (Supplementary Figure 1). The only difference between the 2 ICUs was the number of beds: the test ICU, which conducted the intervention, contained 16 beds and the control ICU contained 15 beds. Patients were placed in the 2 units on the basis of bed availability and not in relation to acuity. The University of Massachusetts Medical School Institutional Review Board approved the trial.

Technology

Electronic hand hygiene alcohol/soap dispensers were installed at all locations used by staff for hand hygiene within each ICU. Doorway entry/exit monitors were installed at the entrance of all patient rooms within the test ICU ($n = 16$) and programmed to provide a soft chime reminder on room entry/exit. Doorway entry/exit monitors were also installed at the entrance of 5 of 15 patient rooms within the control ICU without the programmed chime reminder to allow for the comparison of room entry/exit events in comparable room locations between the 2 ICUs. Every dispenser and doorway entry/exit monitor communicated through a wireless network to an electronic database, which collected the date and time of each dispense and room entry/exit event. In addition, the use of active complex event processing allowed for a continuous real-time display of the proportion of the total number of hand hygiene dispenser activations and room entry/exit events for the preceding 60 minutes. The system updated the display approximately 5 to 10 seconds after each event (dispenser activation or room entry/exit event), but it did not capture the identity of the individual responsible for each event. The continuous real-time rate of hand hygiene activity was displayed as a “screensaver” on 5 centrally located computers in the test ICU (Figure 1).

In addition, the active complex event-processing software concurrently monitored the performance of each device for potential data anomalies that could have arisen from device failure (such as equipment or individuals blocking the entry/exit monitors for sustained time periods that interfered with their function, devices losing battery power, or interruptions in network transmissions).

Intervention

The total number of hand hygiene events and total number of room entry/exits were collected in the test and control ICUs simultaneously. For the trial, several different reminder system

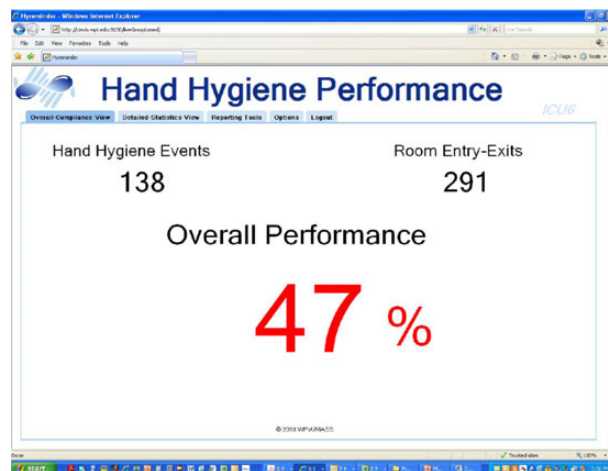


Figure 1. Real-time hand hygiene activity screensaver that was installed on 5 computer terminals within the test intensive care unit (ICU), which would be visible to the staff when computer terminals were not in use.

phases were initiated sequentially in the test ICU that consisted of baseline (no reminder system) [6 weeks], doorway entry/exit chimes [5 weeks], both doorway entry/exit chimes and continuous real-time display of most recent hand hygiene compliance [5 weeks], continuous real-time display of most recent hand hygiene compliance [5 weeks], and a washout period (no reminder system) [4 weeks]. In addition, interim reports on hand hygiene compliance were provided to the test ICU staff detailing hand hygiene activity on an hourly, daily, weekly, and monthly basis. There were no interventions made at all in the control ICU except to notify the staff that a study was being done, and that necessitated the use of different hand hygiene dispensers as well as five door entry/exit monitors. The duration of the phases was based on general estimates of both the number of daily hand hygiene events in the test ICU as well as the amount of time necessary to observe a change in behavior potentially linked to alarm fatigue.

Outcomes

The primary study endpoints were as follows: (1) a comparison of the absolute number of hand hygiene events per day in the test ICU at baseline versus the audible room entry/exit chime, the use of the electronic performance display, and the combination of both reminders; (2) a comparison of the relative change in the number of hand hygiene events per day in the test ICU compared to the control ICU during each phase of the study; (3) a comparison of the ratio of hand hygiene events to room entry/exit events (HHEE ratio) in the test ICU at baseline versus each of the other phases of the study.

Secondary endpoints included the following: (1) a comparison of the absolute number of hand hygiene events per day in test ICU versus control ICU incorporating corrections for anomalous data (substituting average data for 10-minute time

periods exceeding 6 standard deviations); (2) a comparison of both the absolute number of hand hygiene events per day and the HHEE ratio in the test ICU by shift (7:00 am–7:00 pm vs 7:00 pm–7:00 am).

Statistical Analysis

We used (1) both comparisons of the mean number of hand hygiene events per day and the mean HHEE ratio per day as well as (2) an interrupted time series analysis to estimate changes in hand hygiene compliance throughout the study period. The unit of time for this analysis was days. Linear regression models were parameterized with coefficients for phase, a continuous elapsed time from beginning of each phase, and dichotomous indicators of before versus after phase change. The Dickey-Fuller test allowed us to reject the unit-root null hypothesis and accept the alternative hypothesis that the response was generated by a stationary process ($P < .001$). The Breush-Godfrey and Durbin-Watson tests were used to test for autocorrelation. Statistical significance was defined as $P < .05$. SAS version 9.3 (SAS Institute, Inc., Cary, NC) was used for all analyses.

RESULTS

The mean bed occupancy rate during each phase of the trial remained over 92% in both ICUs, and there was no overall statistical difference in mean bed occupancy between the units during the trial, with an average occupancy rate of 94% in the test ICU and 95% in the control ICU ($P = .642$) (Supplementary Table 1). During the trial, there were 277 434 hand hygiene events in the test ICU and 205 202 events in the control ICU. The mean number of hand hygiene events per day and the mean HHEE ratio per day in each phase of the trial are shown in Table 1. The interrupted time series analysis showed that during the first intervention phase (the door entry/exit chime) in the test ICU, there was an initial increase in the number of hand hygiene events per day, but there was a statistically significant decay in hand hygiene activity during this phase ($P = .014$), and there was no overall improvement in the number of hand hygiene events (Figure 2). In contrast, the use of entry/

exit chimes and real-time computer monitor feedback resulted in a 24% increase in the mean number of hand hygiene events per day compared to baseline ($P < .001$), and the time series analysis showed little decay in hand hygiene activity over the duration of this phase ($P = .149$). At the start of the third intervention phase, the number of events decreased with use of the real-time computer monitor feedback alone compared to the end of the dual reminder phase ($P = .131$); there was little decay in hand hygiene activity during the phase ($P = .899$). The number of hand hygiene events per day returned to baseline during the washout phase. In addition to the hand hygiene events per day analysis, the HHEE ratio analysis showed a significant increase during all 3 intervention phases compared to baseline in the test ICU (Figure 3). The use of entry/exit chimes and real-time computer monitor feedback resulted in a 40% increase in the mean HHEE ratio compared to baseline. A comparison of the mean number of hand hygiene events per day and the HHEE ratio between intervention phases was also conducted (Supplementary Table 2). Consistent with the baseline comparisons, both the mean number of hand hygiene events and the HHEE ratio were significantly greater with the use of both the entry/exit chimes and computer monitor feedback compared to all other intervention phases.

A comparison of the total number of room entry/exit events for the 5 matching rooms with entry/exit monitors in the 2 ICUs showed variations in the total number of events between intervention phases; overall, there were slightly more entry/exit events in the matched rooms in the control ICU than the test ICU (Supplementary Table 3). In contrast, a comparison of hand hygiene events per day between the 2 ICUs showed that there was a consistent increase in hand hygiene activity in the test ICU during the 3 intervention phases, whereas the control ICU remained constant over time (Table 1 and Figure 2).

In a secondary analysis, we observed a small number of presumed anomalous events related to technological factors, which impacted less than 1% of the observations. To take these into account, we adjusted our hand hygiene activity for technological glitches; however, anomalous events did not influence the observed effects of the hand hygiene reminder system.

Table 1. The Mean Number of Hand Hygiene Dispenser Events per Day in the Test and Control ICUs, and the HHEE Ratio in the Test ICU Over the Course of the Trial

Phase	Number of Hand Hygiene Dispenser Events per Day (Mean [SD])				HHEE Ratio (Mean [SD])	
	Test ICU	<i>P</i> Value vs Baseline	Control ICU	<i>P</i> Value vs Baseline	Test ICU	<i>P</i> Value vs Baseline
Baseline	1538 (248)	–	1246 (165)	–	26.1% (3.7%)	–
Chime	1569 (270)	.598	1205 (186)	.467	33.7% (4.5%)	<.0001
Chime/Display	1911 (237)	<.001	1218 (152)	.617	36.6% (4.1%)	<.0001
Display	1611 (238)	.196	1129 (149)	.542	32.0% (4.6%)	<.0001
Washout	1473 (131)	.214	1188 (208)	.188	30.1% (2.6%)	<.0001

Abbreviations: HHEE ratio, ratio of hand hygiene to room entry/exit events; ICU, intensive care unit; SD, standard deviation.

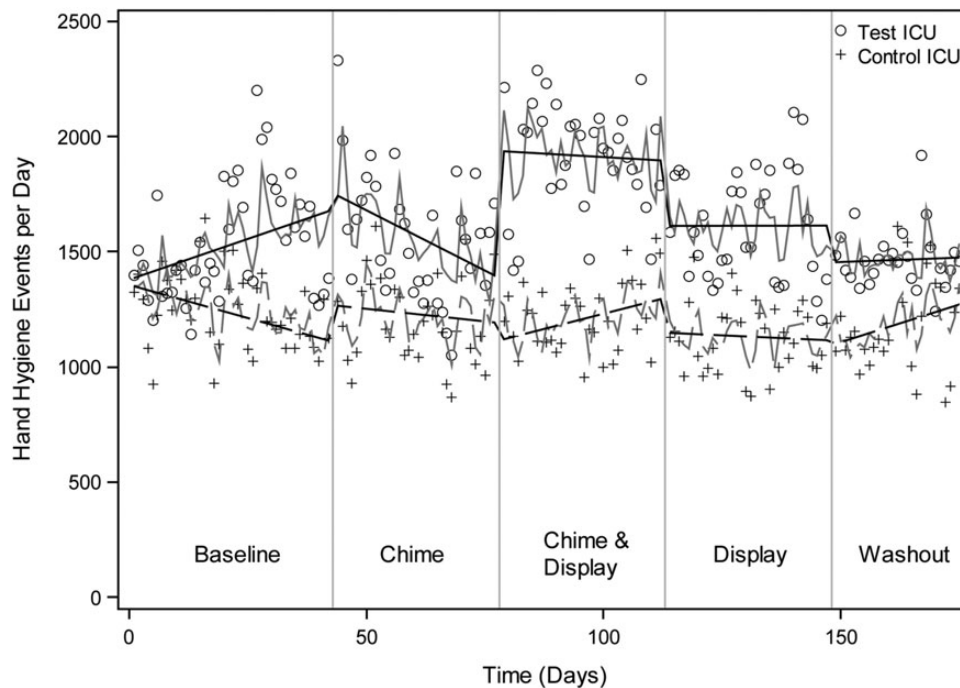


Figure 2. Interrupted time series analysis of the daily number of hand hygiene events in the test intensive care unit (ICU) compared with the control ICU during baseline, chime, chime/display, display, and washout trial phases. o, the number of hand hygiene events on individual days in the test ICU; +, the number of hand hygiene events on individual days in the control ICU.

Analysis of the mean number of hand hygiene events by shift showed that in all phases of the trial, the number of events was statistically higher in the day shift (baseline <0.0001 ; chime <0.0001 ; chime/display <0.0001 ; display <0.0001 ; washout <0.0001) (Figure 4A). In addition, the HHEE ratio was higher in the day shift during most phases of the trial (baseline <0.0001 ; chime <0.157 ; chime/display <0.0001 ; display = 0.0002; washout <0.0001) (Figure 4B). The use of the door entry/exit chime and computer monitor feedback resulted in the greatest increase of activity compared to baseline during both shifts.

DISCUSSION

Despite intensive ongoing international efforts to improve hand hygiene compliance, few systems have been developed and objectively shown to improve healthcare worker hand hygiene activities. A number of studies have reported that hand hygiene activity amongst healthcare workers improved with the use of human auditors; however, there are concerns with observer and selection biases as well as with the Hawthorne effect [22]. More recently, improvement to hand hygiene compliance has been shown with the use of video monitoring systems, alcohol sensor systems, and individual healthcare worker wireless devices; although the use of these systems seems to be limited by high installation and/or maintenance costs [7–9, 11, 12].

Results from this prospective controlled trial found that an electronic hand hygiene monitoring system can increase both the absolute number of hand hygiene events and the ratio of hand hygiene events to room entry/exit events in an ICU setting. The use of a simple reminder chime on room entry/exit was able to initially increase hand hygiene activity, but it was associated with an apparent decay in the effectiveness of this audible alarm on room entry/exit over the 5-week phase. The decay is most likely related to the well defined problem of monitor alarm fatigue [23]. In contrast, the concurrent use of the audible room entry/exit chime and real-time feedback on hand hygiene activity to healthcare workers led to a sustained significant increase in hand hygiene activity without an observed decay in efficacy. Hand hygiene activity decreased when the real-time feedback was used alone without the concurrent audible chime, suggesting that the immediate reminder provided by the audible chime remained important; although it is also possible that the observed decrease was linked to a more delayed onset of alarm fatigue.

It should be noted that the calculated HHEE ratio is a different metric than hand hygiene compliance as measured by direct observation. First, with the exception of hand hygiene events that occur within patient rooms, it does not associate individual hand hygiene events with individual room entry/exit events to confirm individual provider compliance. Second, it does not recognize single hand hygiene events that are considered

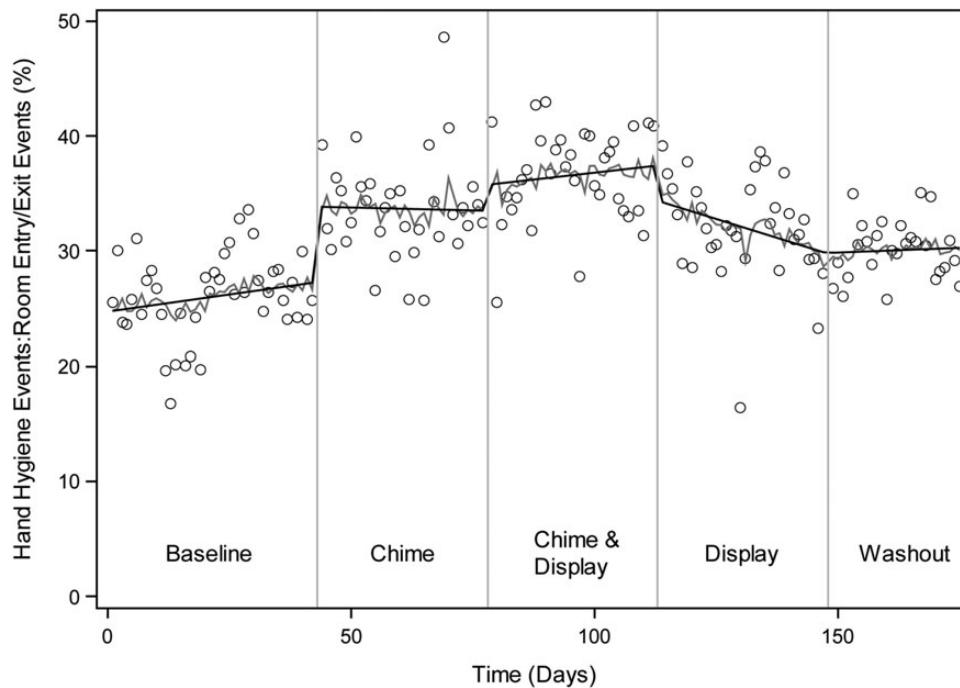


Figure 3. Interrupted time series analysis of the hand hygiene event to room entry/exit event (HHEE) ratio in the test intensive care unit (ICU) during baseline, chime, chime/display, display and washout trial phases. o, the ratio of hand hygiene events to room entry/exit events on individual days.

compliant for 2 patient encounters, for example, when a healthcare worker begins hand hygiene activity while exiting one patient room and immediately enters a second patient room; therefore we identified a lower ratio than through direct observation. Third, it does not identify occurrences when a healthcare worker might enter the doorway of a patient room and have a conversation with the patient or family or check on the status of a sleeping patient without becoming involved in patient care. Depending on the situation, such interactions may or may not be considered compliant by direct observation. However, the purpose of calculating and providing the HHEE ratio in the real-time display was to provide a relative index of hand hygiene performance that could be used to encourage unit staff to increase hand hygiene activity. In addition, both the absolute number of hand hygiene events and the HHEE ratio are objective, reproducible metrics that can be used to track hand hygiene activity over time for individual units as demonstrated in this and prior studies, and these could be potentially used to compare hand hygiene performance between units or institutions [24, 25].

Although subject to the limitations in defining hand hygiene compliance as noted above, the hand hygiene activity observed during the course of this trial was lower than hand hygiene compliance reported in studies done with human observers [4, 5]. However, the baseline hand hygiene activity appears comparable to that in multiple other studies of electronic hand

hygiene monitoring systems [15, 16, 18, 26, 27]. Of note, a recent large study reported on hand hygiene activity between 2010 and 2013 in 35 hospitals that had installed electronic badge-based hand hygiene monitoring systems. The study encompassed over 4100 monitored healthcare workers and over 13.7 million hand hygiene opportunities; overall, hand hygiene compliance was only 42.6% during the first hour of a healthcare worker's shift and declined progressively during the time on duty to 34.8% at the end of a shift [27]. These differences in measured hand hygiene activity from electronic monitoring systems and human observations likely relate to technical factors as detailed above for the HHEE ratio, but also to limitations in human observation due to the small fraction of healthcare worker patient interactions that can be monitored, to measurement errors by human observers, and to very pronounced Hawthorne effects [16, 28–30]. The findings from this and other studies of electronic monitoring systems indicate that further efforts are needed to promote optimal hand hygiene by healthcare workers.

We identified a consistent significantly higher level of hand hygiene activity during the overnight shift compared to the day shift. This difference persisted even though this information was conveyed to the unit staff during the course of the trial. It is likely that the night shift hand hygiene activity predominantly represents unit staff activity because other staff and visitors are less likely to be present. There are only limited other data on differences in hand hygiene activity in the hospital setting

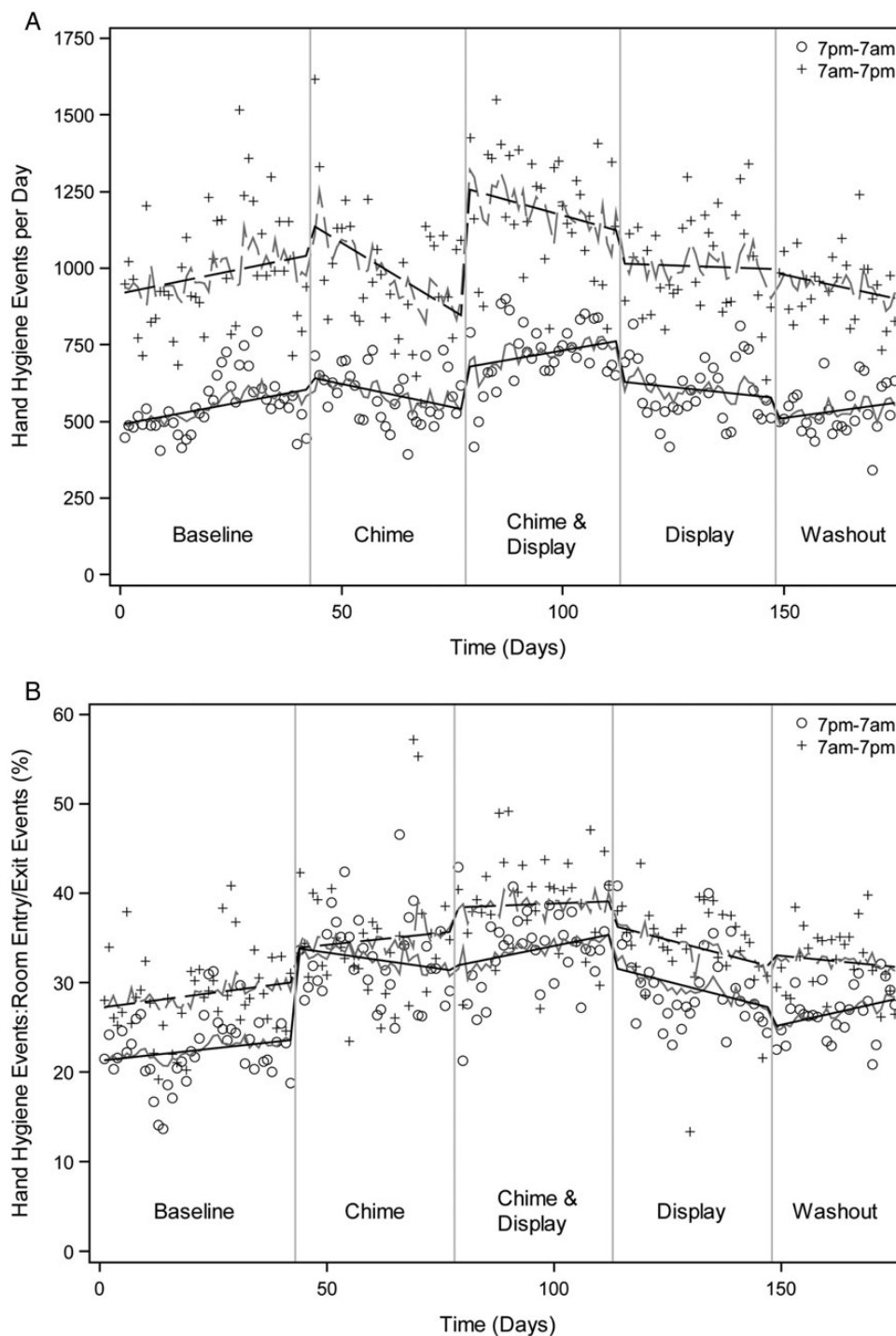


Figure 4. (A) Comparison of the number of hand hygiene events in the test intensive care unit (ICU) during the day and night shifts during baseline, chime, chime/display, display, and washout trial phases. +, the number of hand hygiene events on individual days on the day shift; o, the number of hand hygiene events on individual days on the night shift. (B) A comparison of the hand hygiene event to room entry exit event (HHEE) ratio in the test ICU for the day and night shifts during baseline, chime, chime/display, display and washout trial phases. +, the ratio of events on individual days on the day shift; o, the ratio of events on individual days on the night shift.

during the course of the day. Two studies that electronically tracked hand hygiene dispenser event found that the number of hand hygiene events was greater during the day shift than

evening shift, although the studies could not assess the relationship between hand hygiene events and patient care activities [20, 31]. An additional study that measured alcohol rub

dispenser use and room entry/exit monitors in a hematopoietic stem cell transplant/hematology unit similarly found that hand hygiene compliance was significantly higher during the day shift (8:00 am to 7:59 pm) than on the night shift [19]. However, a fourth study by Cheng et al [16] found no difference in hand hygiene activity between shifts in a small open 6-bed neurosurgical unit.

Why there is a difference in hand hygiene activity between shifts is not clear. Although variation in patient care practices between shifts certainly contributes to the observed differences, recent work on peer effects on hand hygiene compliance is likely also relevant to this issue [26, 32, 33]. In particular, Monsalve et al [26] assessed the relationship between hand hygiene compliance and the presence of other healthcare workers, and they found that when other healthcare workers were present—and within close proximity—hand hygiene compliance increased. Given that fewer healthcare workers are normally present during night shifts, the lack of peer effects could contribute to lower observed hand hygiene activity. It is notable that the one trial that did not observe differences in hand hygiene activity between shifts was performed in an open ward, all beds and healthcare worker activity was visible to others [16]. Future different teaching approaches are likely necessary for institutions to promote hand hygiene activity for personnel working at night [26].

The fact that hand hygiene activity varies by shift also raises concerns regarding how institutions monitor hand hygiene activity. The use of anonymous observers has been advocated for measuring hand hygiene compliance to avoid observer bias noted with unit-based hand hygiene observers that in general leads to an overestimation of healthcare worker hand hygiene activity [4, 34]. However, the use of anonymous observers is functionally implausible on night shifts in most hospital settings because nonunit-based observers will be immediately recognized by healthcare staff.

This trial has several significant strengths. First, the physical structure, staffing, and patient population characteristics of the 2 units allowed us to perform a prospective controlled trial of the monitoring and reminding technology. Second, the duration of the trial and the large number of observed events allowed us to assess differences in hand hygiene events during different time periods, and these factors also allowed for analysis of decays in the effectiveness of the reminder system linked to monitor fatigue.

The trial was subject to several limitations. First, overall hand hygiene activity across the entire unit was monitored, and individual healthcare worker activity was not collected. Therefore, it was impossible to define whether observed hand hygiene activity was linked to that of healthcare workers or to other individuals in the ICU, including housekeepers, radiology technicians, non-ICU healthcare workers, and/or visitors. In addition, other staff and visitors were not as aware of the reminder system, and thus they may have been less responsive to the audible reminders and real-time feedback displays. This could have led to an

underestimation of the impact of the reminder system on the healthcare worker hand hygiene activity in the unit. Second, the system could not assess the 5 moments of hand hygiene advocated by the World Health Organization [3]. Third, it remains possible that there could have been intercurrent events that impacted overall healthcare worker awareness of the need to perform hand hygiene; although, in this regard, there was no apparent change in the number of hand hygiene events recorded in the control ICU during the course of the trial. Fourth, each reminder system phase was approximately 5 weeks, and it is possible that the study duration was not adequate to fully exclude the possibility of monitor fatigue. Finally, our study was conducted at a tertiary care academic medical center within 2 medical ICUs and may not be generalizable to other clinical settings.

CONCLUSIONS

In summary, an electronic hand hygiene monitoring and reminder system was found to be able to increase hand hygiene performance in an ICU setting. In particular, hand hygiene activity increased with the use of audible room entry/exit reminders as well as real-time computer monitor and manager reports feedback. The system was able to monitor hand hygiene activity for 24 hours a day, unlike direct observer monitoring, and it could do so at times when direct observations could not be objectively performed. Although the system did not provide the detail of information provided by electronic systems that identify individual healthcare worker behavior, this group monitoring system has the potential to be a less expensive approach to increasing hand hygiene behavior in the healthcare setting and to do so in a less intrusive manner. This anonymous approach may be more acceptable to those healthcare workers who have privacy concerns regarding hand hygiene monitoring [21]. Beyond this, by providing objective and detailed information on hand hygiene activity among healthcare workers, it can provide direct feedback to hospital staff as to their relative level of hand activity in comparison with different shifts in the same unit, in the same unit over time, or between different units using such a system. In addition, such systems could allow for a more definitive determination of the impact of hand hygiene on the rate of hospital-acquired infections as well as its effectiveness in limiting the transmission of multidrug-resistant bacteria [35, 36].

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Potential conflicts of interest. Richard Ellison, Di Wang, and Elke Rundensteiner have patent pending on software used for active complex event processing software system used for real-time data processing and the electronic reminder system. All other authors report no potential conflicts.

All authors have submitted the ICMJE Form for Disclosure of Potential Conflicts of Interest. Conflicts that the editors consider relevant to the content of the manuscript have been disclosed.

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