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Guidelines for implementation of cystic fibrosis newborn screening programs: Cystic Fibrosis Foundation workshop report

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Guidelines for Implementation of Cystic Fibrosis Newborn Screening Programs: Cystic Fibrosis Foundation Workshop Report

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ABSTRACT

Newborn screening for cystic fibrosis offers the opportunity for early intervention and improved outcomes. This summary, resulting from a workshop sponsored by the Cystic Fibrosis Foundation to facilitate implementation of widespread high quality cystic fibrosis newborn screening, outlines the steps necessary for success based on the experience of existing programs. Planning should begin with a workgroup composed of those who will be responsible for the success of the local program, typically including the state newborn screening program director and cystic fibrosis care center directors. The workgroup must develop a screening algorithm based on program resources and goals including mechanisms available for sample collection, regional demographics, the spectrum of cystic fibrosis disease to be detected, and acceptable failure rates of the screen. The workgroup must also ensure that all necessary guidelines and resources for screening, diagnosis, and care be in place prior to cystic fibrosis newborn screening implementation. These include educational materials for parents and primary care providers; systems for screening and for providing diagnostic testing and counseling for screen-positive infants and their families; and protocols for care of this unique population. This summary explores the benefits and risks of various screening algorithms, including complex situations that can occur involving unclear diagnostic results, and provides guidelines and sample materials for state newborn screening programs to develop and implement high quality screening for cystic fibrosis.
Cystic Fibrosis (CF) is one of the most common life-shortening genetic diseases in the United States, affecting ~30,000 children and young adults. Because early treatment improves outcomes and the Centers for Disease Control and Prevention has stated that the addition of CF to state newborn screening (NBS) programs is justified, there has been increased interest in adding CF to state NBS programs. Individuals who are diagnosed with CF through NBS have improved nutritional status, better growth, and fewer hospitalizations, whereas a delayed diagnosis can result in significant cognitive dysfunction and nutritional failure. NBS has been shown to decrease the risk of life-threatening complications or death from CF in infancy or early childhood. Families of those with CF also benefit substantially from CF NBS, because they avoid the average 15-month delay between onset of symptoms and diagnosis along with the accompanying anxiety, frustration, and emotional distress. Costs of diagnosis, and possibly treatment (through fewer hospitalizations), are reduced by NBS. Finally, families can benefit from genetic counseling and identification of CF in older siblings as a result of NBS discovery of CF mutations.

Although CF NBS offers tremendous benefits for individuals diagnosed with CF and their families, it is accompanied by risks that also must be considered for optimal NBS-program design. These risks include the potential that infants with CF could have a delayed diagnosis by primary care providers (PCPs) who believe a negative NBS result is definitive, and that infants who are properly identified with CF by the screen could be exposed to health care–acquired infections during the diagnostic evaluation or early treatment. The families of infants with a positive screening result but not CF could experience unnecessary anxiety before the diagnostic result, and doubts regarding the health of their normal infant may persist even afterward. Recognition of these risks is vital for optimal NBS-program design.

Components of a well-designed system necessary to address these issues successfully, as determined at a workshop held by the CF Foundation and attended by representatives from numerous state and international NBS programs, government agencies, and CF Foundation–accredited care centers (see “Acknowledgments”), are described here. The CF Foundation recommends implementation of CF screening in all newborns, with appropriate attention to these guidelines to reduce risks and maximize benefits for all participants.

The goal of CF NBS programs should be to provide infants with CF an early opportunity to receive specialized medical care that will assist them in maintaining normal growth and development and delay pulmonary infection, with its associated subsequent decline of lung function. To that end, CF NBS programs should be integrated within established systems such as state-based NBS programs to assure comprehensive education, testing, tracking, follow-up, and outcomes assessments. These NBS programs provide opportunity for early identification and treatment of infants who have disorders that otherwise would go unrecognized before irreversible clinical damage. This early opportunity is possible because indicators of disorders are detectable in the dried blood spot specimens that are collected universally from the newborn population at ~2 days of age during a presymptomatic period. NBS as a successful population-based public health service began in the early 1960s when statewide screening for phenylketonuria was first implemented. Since that time, it has become a service offered by all states and most industrialized nations and includes screening for a variety of disorders.

NBS programs support sophisticated systems for coordination of their educational, laboratory, communications, and clinical services (ref 33 and Table 1). The particular disorders that are screened by each state’s program are determined by state authority (state lists are available at http://genes-r-us.uthscsa.edu/nbsdisorders.htm). NBS programs can and do add new disorders, some of which are universally required and some of which are optional. The determination of whether CF is included in a state’s NBS panel and, if so, whether it is included as a required (mandatory) or optional screen is in the purview of the state authority.

This document provides a guide to some initial processes involved in the implementation of CF NBS. The best way to conduct CF NBS in a particular region will depend on a number of complex issues. Systematic planning by the state and a CF NBS advisory body will maximize opportunity for success.

**SYSTEMATIC PLANNING FOR CF NBS**

To plan properly for CF NBS implementation, it is helpful to assemble a workgroup composed of those who will have operational responsibility for the local CF NBS program. Typically, this would include the state NBS program director and all of the center directors of the CF clinical care programs in the state. Some states may choose to have a larger working group that includes key support personnel such as CF clinic nurses, genetic counselors or directors of accredited sweat-testing facilities, and parents of children with CF. Initially, the CF NBS workgroup should focus discussions on the practical implications of various algorithms for screening and its follow-up to develop protocols and outline expected lines of responsibility (Table 2). As with other collaborations between multidisciplinary experts, it is likely that the CF NBS workgroup will function most smoothly when agreements about problematic issues (e.g., costs, staffing, and authorship on publications that might be generated on the basis of data collected by the group) are in place. Ideally, the CF NBS workgroup would be an information resource and the operational arm of an
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<td>Track to assure next step</td>
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overarching state NBS advisory committee, which should include representation from a wider variety of stakeholders including parent advocates, primary care professionals, staff from birth hospitals with obstetrical services, and insurers. All members of the CF NBS workgroup will have to become familiar with the various elements of a CF NBS program (Table 1). For each element, the workgroup must determine the extent to which (1) the basic standard of care is followed or exceeded, (2) compromises resulting from sociogeographic or socioeconomic considerations are incorporated, and (3) data are collected for quality improvement and research purposes.

Among the first tasks of the CF NBS workgroup should be to determine the spectrum of CF disease that the program will aim to identify. A successful CF NBS program must balance the desire to identify all infants with CF, whose disease can vary from extremely mild to severe, with the realities of subjecting large portions of the population to diagnostic tests and limited resource...
availability. The CF NBS workgroup should determine the resources available and develop a screening algorithm that will optimize the use of these resources to identify those infants who are likely to receive the greatest benefit. Some states may choose to identify infants with all possible CF indications, because even mildly affected infants may experience life-threatening salt loss, although this is rare. Such decisions also increase the likelihood of identifying infants who either will not develop any CF symptoms or will only develop mild symptoms later in life. Other programs, with more limited resources, may choose to focus on a subset thought to have a prognosis of severe disease.

Finally, the CF NBS workgroup should ensure that all necessary guidelines for systems and care are in place before CF NBS implementation. A manuscript detailing the protocol for care of the newborn diagnosed with CF through NBS is under preparation by the CF Foundation.

SPECIFICATIONS FOR SUCCESSFUL CF NBS PROGRAMS

Prescreen Education
In addition to the standardized educational materials distributed by NBS programs to expectant parents and to parents immediately after the birth of their infant, the following types of CF-specific information should be developed or accessed for distribution:

- Notice to parents of availability of CF NBS: Typically coordinated by the state NBS program, this notice may be in the form of public service announcements, flyers, and educational materials distributed to prenatal services and educational brochures distributed to nurseries. Notices that reach parents prenatally may help them understand that prenatal and neonatal screening services are different and that both are available.

- Notice to pediatric health care providers of CF NBS: This task is usually coordinated by the state NBS program. A model notice, incorporating some educational material about CF, is shown in Appendix 1. Alternatively, notification may be in the form of a “legal notice” or public service announcement, especially if the state is adding several disorders to its screening program at once. Proper education of the primary care community is helpful in accomplishing the objectives of the CF NBS program and diminishing misunderstanding about the abilities and limits of the screen. Although state-of-the-art screening will identify 96% to 99% of CF-affected infants, false-negative results could cause a significantly delayed diagnosis because of complacency of the PCP, who may ignore clinical signs of the disease. Regardless of CF NBS results, diagnostic evaluation including sweat testing should be performed on any infant who evokes clinical concern, including all infants with meconium ileus (MI), and any infant whose parents are both carriers.

- Standardized presentations: These should be developed by the CF NBS workgroup to ensure that the information being provided in local educational seminars for physicians about CF NBS is accurate and representative of the protocol developed by the CF NBS workgroup. It is helpful when health care providers can be assured by the presenter that consultation with the CF NBS program is available in the event of a positive screening result, providing them with a support system.

These and other educational materials designed for CF NBS are available in those programs that have already initiated screening programs (see Table 3 and Appendices 1–3).

Blood Spot Testing Algorithms for CF NBS
All screening algorithms in current use in the United States rely on testing for immunoreactive trypsinogen (IRT) as the primary screen for CF. The presence of high levels of IRT, a pancreatic protein typically elevated in CF-affected infants, indicates the need for a second tier of testing, which determines the positive or negative outcome of the screen. The second-tier test may rely on IRT again or DNA testing; within these 2 categories, a variety of modifications are used because no single algorithm is perfect. For example, 2 different algorithms using DNA in the second tier could eventually identify a similar number of affected infants; however, one algorithm that tests for more Cystic Fibrosis Transmembrane Conductance Regulator (CFTR) mutations might result in quicker diagnosis and allow for earlier intervention, whereas the other might identify fewer carriers. In choosing an algorithm, consideration should be given to the goals of the program, the demographics of the population to be served, and the capacities of the screening laboratory, the clinical care programs, and the follow-up system. The incidence, prevalence, and (for algorithms using DNA) mutant allele distribution must be estimated to determine if facility and personnel needs resulting from a particular screening algorithm can be met. In considering these needs, it is useful to realize that an early diagnosis is important, but hours or days are not as critical in identification of CF as in that of several other standard newborn-screened disorders such as galactosemia or congenital adrenal hyperplasia.

IRT Analysis: A Cautionary Note
Because newborns with CF and MI may have low initial IRT values, resulting in a false-negative screen, all newborns with MI should have a sweat test regardless of NBS results. In contrast, infants who have significant perinatal stress or low Apgar scores or (as observed in 1
false-positive newborn screen results. The urgency of the first specimen screen result was positive. Because IRT levels decrease after the first weeks of life, the timing of sample collection is important and laboratory cutoffs for age-specific ranges must be established. In keeping with routine laboratory quality assurance, cutoff levels must be determined by each NBS laboratory to meet the desired sensitivity and specificity of the screen and should be evaluated periodically. Some laboratories may choose to use an absolute IRT value for a cutoff, whereas others may identify a percentage of the daily values as the cutoff. The latter method avoids the problem of seasonal variations in IRT values that may be caused by liability of the sample during shipment to the processing laboratory but may be more subject to variation as a result of daily population shifts.

IRT/DNA Algorithms

Some CF NBS programs may prefer to use a screen that includes DNA analysis. In fact, if the NBS program collects only a single specimen universally, then an algorithm with IRT as the primary screen followed by a DNA analysis on the specimens with elevated IRT concentrations (IRT/DNA algorithm) is typically chosen. IRT cutoffs that is selected to prompt DNA testing is a factor in producing false-positive and false-negative results that must be considered by the CF NBS workgroup.

Use of DNA analysis in the second tier of screening necessitates the selection of mutations in the **CFTR** gene for testing. A decision can be made to test for only the most common **CFTR** mutation, ΔF508; for ΔF508 and multiple mutations associated with severe disease; or for a panel of multiple mutations that includes some variants associated with mild or variable phenotypes. This decision must be based on a number of considerations, including those that are philosophical (the purpose of screening), scientific (current understanding of genotype-phenotype relationships), clinical utility (benefit to the infant and family), and practical (cost, system capacity for increased numbers of positive-screened infants). The choice of whether to use a multiple-mutation panel or an assay for ΔF508 alone is the first consideration. The frequency of ΔF508 in the community will help determine the usefulness of a single-mutation assay in the second tier of the screen. In the Massachusetts CF NBS program, the use of a multiple-mutation panel (16–27 mutations) rather than just the single most common mutation increased the detection of affected infants by 50% and reduced the false-negative rate fourfold, although it also increased detection of carrier false-positives.

**TABLE 3 Educational Materials for CF NBS**

| 1. The New England Regional Genetics Group has materials available for purchase at www.nergg.org/educationmaterial.php, including: A listing of state genetic programs, comprehensive genetic counseling centers, and consumer support organizations. Optimizing Genetics Services in a Social, Ethical, and Policy Context, a booklet on a discussion of what consumers want, a set of detailed suggestions for responding to ethical problems in genetics, and a description of measures to optimize interactions between consumers and providers in clinical settings. Optimizing Genetic Services: Consumers. Speak Out, a video that describes in personal terms the highlights of what both consumers and providers agreed should be part of optimal genetics services. |  |
itive results by 43%. In other communities, the choice of using a single mutation in the second tier of the screen may adequately meet the needs set forth by that state’s CF NBS workgroup.

The selection of mutations for a multiple-mutation panel can pose challenges, including:

- Reagent availability: Most NBS programs will use commercially available reagents, which have largely been developed for prenatal testing. However, these reagents are not completely compatible with CF NBS, because the goal of prenatal testing is detection of parent carriers rather than detecting infants with CF. Recently, commercial reagents that allow flexibility in selecting mutations for testing have come onto the market and will allow development of NBS- and population-specific instruments.

- CF genotype variation: Ideally, the mutation panel for screening should be based on the frequency of CF mutations present in the state CF population. A list of CF genotypes and frequencies observed in the CF care centers serving a community can be obtained from the CF Foundation. However, measurement of CF genotypes in nonnewborn clients of CF clinical care centers can only approximate the frequency in the newborn population. The validity of using these frequencies is limited as a result of issues that include ascertainment bias of CF in nonwhite populations, the extent of the CF population genotyped, population shifts, and the pattern of genotypes associated with diagnoses in symptomatic infants.

- Genotype/phenotype relationships: Some CFTR mutations, particularly those in classes IV (such as R117H) and V (such as A455E or 3849 + 10 kb C→T or IVS8-5T) (see the Cystic Fibrosis Mutation Database [www.genet.sickkids.on.ca/cftr]) may be associated with milder phenotypes, and the benefit from screening algorithms that include these mutations has not been proven. Longitudinal epidemiologic data on individuals with classes IV and V mutations are quite limited, particularly with regard to lung disease, and few studies have included enough patients with a comprehensive analysis of outcome. Inclusion of DNA variants with undocumented pathogenicity in NBS mutation panels risks the detection of asymptomatic infants who may have late-onset mild disease for which the clinical utility of NBS is unproven and who may also incur insurance barriers. Projections of the rates of false-negative screens should be considered in relation to the specific objectives of the CF NBS program in making a decision to exclude these mutations from a screening panel. Use of these mutations in NBS is considered in more detail in “Special Considerations” below.

Fail-safe for IRT/DNA Protocols

Some NBS programs may choose to define the presence of ultrahigh IRT levels as a positive CF NBS result regardless of DNA-analysis results. The Massachusetts NBS protocol uses ultrahigh IRT values (eg, the top 0.2% of the population values, recently raised to the top 0.1%) as a fail-safe protocol to identify infants with CF who have mutations that are not detected by the multiple-mutation DNA panel. To increase specificity of this fail-safe protocol, the IRT values of serial specimens are evaluated when infants have multiple samples submitted; if the value decreases to within reference levels within the first month of life, the infant is excluded from the recommendation for a sweat test. Because high IRT values are associated with multiple causes, some NBS programs may not consider ultrahigh IRT levels as a positive screen result. In California, the proposed CF NBS algorithm depends on a regionally customized DNA panel to maximize sensitivity and specificity of the newborn CF screen. In this algorithm, an IRT-level cutoff of 98.4% and at least 1 DNA mutation detected is projected to produce 661 sweat-test referrals, revealing 70 diagnosed cases of CF. Although including ultrahigh IRT levels with no DNA mutations in the positive screen results is projected to detect 2 additional infants with CF, it would also increase the number of sweat-test referrals by an additional 426 and, therefore, is not incorporated into the algorithm (M. Kharrazi, PhD, MPH, written communication, 2005). However, when the California algorithm was examined by retrospective application to the 110 CF-affected infants identified from a 4-year Massachusetts birth cohort, 12 (11%, including 4 ΔF508 homozygotes) would have been missed by the 98.4% IRT-level cutoff, and another 3 (3%) would have been missed by the requirement for detection of at least 1 mutation (A.M.C., unpublished data). This would have been outside the 95% detection rate that the Massachusetts program set as its goal.

Each CF NBS workgroup must decide whether the community it represents will receive more benefit from such fail-safe protocols or from protocols that require development and maintenance of population-specific mutation panels for potentially changing populations.

Summary

States that wish to start a CF NBS program may use IRT/IRT algorithms or IRT/DNA algorithms. Those adopting the latter may wish to begin developing projections of positive screen results by using the DNA-mutation frequencies identified in the CF Foundation’s patient registry and then expanding the data to reflect the population subgroups in that state. Screening algorithms that include class IV and V mutations have been used in recent years, but additional data are needed to determine their appropriate role in CF NBS. As with all
NBS programs, a balance must be struck between sensitivity, specificity, and clinical utility.

**Postscreening Report and Education**
The responsibility for finding and notifying the PCP of the infant with a positive CF screen result is typically that of the state NBS program or its associated maternal and child health bureau, although models exist in which the CF care center or the birth hospital is assigned to this role (Table 4). Because these processes can be very labor intensive, adding a large burden to the reporting system, lines of responsibility and available resources should be well defined before CF NBS implementation.

**Positive CF NBS Report**
In keeping with the overall NBS notification and reporting practices, the designated follow-up person from the CF NBS program should identify the PCP, notify that person of the positive screen result, and recommend that the infant be given a sweat test. Ideally, the PCP will be contacted by telephone, followed by timely confirmation of details in writing (eg, fax reports). The CF NBS follow-up person should be prepared to explain the screening process and the risk of CF for the particular infant and provide contact information for diagnostic evaluation while collecting information as to the likely preferred site for this evaluation. The educational materials that are used to introduce CF NBS (Appendix 1) can be used to refresh and update general information to the health care provider. Other materials, aimed at explaining the CF newborn screen to the public, can be provided to the health care provider to give to the family (Appendix 2).

Family counseling before the sweat test typically begins with the PCP and may or may not be offered again at the CF care center. In the event that the PCP is not comfortable providing this information or is unavailable, the CF care center may need to assume this responsibility. After obtaining a complete family history, the counselor should be able to:

- explain the CF NBS process;
- provide a general description of CF;
- explain the positive CF NBS result and its implications;
- relate the relative risks of a positive, negative, borderline, or insufficient-quantity sweat-test result and risk of a positive or equivocal diagnosis associated with the individual report, including less typical reports such as an ultrahigh IRT level with no identified mutations;
- provide the location of the diagnostic evaluation;
- describe the services (sweat testing, nursing, genetic counseling) and personnel the family can expect to encounter at the diagnostic-evaluation visit;
- form a plan for follow-up of the sweat-test result;

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<tr>
<th>TABLE 4</th>
<th>Examples of Reporting Mechanisms for Positive Screen Results</th>
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<td><strong>Positive Screen Report: Result Requiring Specialist Consultation</strong></td>
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<td>Model 1 (New England NBS program, Massachusetts Department of Health, Waltham Laboratory)</td>
<td>By NBS program; how: telephone consultation with PCP, fax report</td>
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• explain the significance of the presence of a single CFTR mutation, if relevant, for future reproductive decision-making for both the child and other family members, including parents and siblings, and arrange for testing of the parents and family members if desired; and

• provide educational materials that can answer some of the family’s questions.

Once the site for diagnostic testing has been identified, the follow-up person should provide detailed screening reports to the diagnostic site and the genetic counselor, if applicable. Efficient scheduling and communication with the sweat-test laboratory, genetic counselors, and clinicians will be promoted if an individual at the diagnostic center is identified to receive the CF NBS report and coordinate the follow-up evaluation.

**Negative CF NBS Report**
A negative result generated by a routine CF NBS may be reported in a manner that is consistent with overall NBS-program practice and in conjunction with routine reporting of other NBS tests. However, if clinical concern has prompted a request for the newborn screen result by the PCP, the CF NBS follow-up person should provide NBS results within the context of recommendations for diagnostic evaluation that are appropriate for each unique set of circumstances. Timely response to such inquiries, especially at the beginning of implementation, helps educate the medical community. Sample fact sheets to accompany the CF NBS report in response to these inquiries are provided in Appendix 3.

**Diagnostic Testing of Infants After a Positive CF NBS Result: The Sweat Test**
Confirmatory sweat tests are the gold standard for diagnosis and should be conducted on all infants with a positive NBS result, including those with 2 mutations detected. A positive screen result is not a diagnosis and should always be confirmed by a diagnostic test. Infants with a positive screen result whose mother or father had negative carrier-testing results are at lower risk of having CF, but their elevated IRT value must be investigated by sweat testing.

**Sweat-Test Laboratory Qualifications**
The diagnostic quantitative pilocarpine iontophoresis sweat-chloride test should be performed according to the Clinical and Laboratory Standards Institute (CLSI, formerly the National Committee for Clinical Laboratory Standards) guidelines at a College of American Pathologists–accredited laboratory, as recommended by the CF Foundation. Optimally, the testing should be performed at a laboratory in affiliation with a CF Foundation–accredited care center, where the test can be coordinated with timely and appropriate genetic counseling and clinical follow-up. If the sweat-test result is positive, it is helpful for a CF clinician to be available for immediate intervention. A CF clinician can also help with interpretation of a complex diagnostic profile such as a borderline or negative sweat-test result in the presence of 2 CFTR mutations. Access to a genetic counselor and CF clinician is also helpful if there is a family history of CF, a genotype requiring literature review, or information on sensitive issues such as misattributed paternity. In addition, because infants with CF could be subjected to health care–acquired infection during their diagnostic evaluation or early treatment, testing and clinical care should take place at sites where this risk is recognized and rigorous CF infection-control guidelines are followed carefully. Ideally, the sweat test should be available within 2 to 3 hours’ driving distance for the family. In regions where greater distances are unavoidable, it may be necessary to establish CLSI guideline–proficient satellite laboratories at which sweat can be both collected and tested or collected and sent to a College of American Pathologists–approved laboratory for analysis. Although not ideal (as shown in one non-US study), such arrangements could ensure acceptable testing standards and may prevent long delays in testing as well as provide a safe alternative for situations when long trips are difficult.

**Minimum Age and Weight of the Infant for Valid Sweat Testing**
Sweat electrolytes are high on the first day of life, but by the third day they have declined to levels seen outside the newborn period. The CLSI guidelines permit sweat testing after 48 hours of age. Because CF NBS results are unlikely to be available before 48 hours, the threshold for sweat testing is more likely to be related to weight rather than age; infants weighing <2 kg are more likely to provide insufficient sweat for analysis (quantity not sufficient [QNS]).

Other infants are also at increased risk for invalid test results as a result of generation of insufficient sweat. Premature infants or infants who are black exhibit a higher frequency of QNS reports. Newer methods of conductance determination on microsamples have been developed but are not yet adequately standardized. Because waiting for the sweat test to be performed leads to family anxiety, the test should be scheduled as soon as possible and parents should be informed of the risk of QNS. Sweat testing is not considered an emergency, but guidance by a CF specialist should be available for the PCP at all times.

**Diagnostic Interpretation After the Sweat Test**
The following guidelines are designed to help CF NBS programs develop follow-up protocols that (1) resolve the outcomes of the vast majority of infants with positive CF NBS results and (2) ensure monitoring of the small
subset of infants whose diagnostic status cannot be resolved without additional guidance. A planned CF Foundation-sponsored workshop will update the published consensus case definition of CF⁵⁷ and may provide assistance in addressing some of these diagnostic dilemmas. Regardless, it is most helpful to have specific diagnostic protocols developed by the state CF NBS workgroup in place before implementation so that the lines of action and responsibility are clear.

**Positive Sweat-Test Result**
In keeping with CLSI guidelines, sufficient quantities of sweat yielding chloride (Cl⁻) values of ≥60 mEq/L is considered diagnostic for CF.⁵⁰ Exceptions to this rule are familiar to clinicians who practice at CF care centers.⁵⁸,⁵⁹

**Negative Sweat-Test Result**
In the absence of significant clinical concern for CF, a negative sweat-test result (Cl⁻ value of <30 mEq/L at <6 weeks of age) confirms a negative diagnosis. Clinical signs and symptoms consistent with CF or the detection of 2 pathogenic mutations in the CF newborn screen should result in reexamination of the diagnosis by a qualified CF clinician.

**Borderline Sweat-Test Result**
In older children and adults, a sweat Cl⁻ value between 40 and 60 mEq/L has been termed ambiguous or “borderline” and is not clearly diagnostic. However, results from several NBS programs indicate that newborns with CF (from 1 to 6 weeks of age) tend to have sweat Cl⁻ levels lower than those found in older infants and children with CF.⁵⁵,⁶⁰ Thus, some screening programs recommend that a lower limit of 30 mEq/L (still 5 SD above the normal mean) be adopted as the lower limit of the borderline range in infants. In Massachusetts, lowering the cutoff from 40 to 30 mEq/L resulted in an increase from 1.1% to 3.5% in the number of screen-positive infants with a borderline sweat-test result, who then required additional monitoring before diagnostic resolution (A.M.C., unpublished data). Evaluation of any infant with a borderline sweat-test result should include repeat sweat testing scheduled for a later date. If 2 CFTR mutations were detected by the newborn screen, a CF specialist should be consulted to determine the appropriate next steps. If 1 CFTR mutation was detected by the screen, a genetic counselor should be consulted to determine if an extended panel of CFTR mutations should be used in additional testing.⁶²

For more detailed discussion of recommendations after borderline sweat-test results, see “Diagnostic Dilemmas: Borderline Sweat-Test Results” under “Special Considerations” below.

Communicating Diagnostic-Evaluation Results to the Parents
CF NBS is optimized by effective communication of diagnostic results, including well-developed counseling and education resources.²³,²⁹,³⁰,⁶³–⁶⁵

**Positive CF Diagnosis**
When the CF NBS result indicates a high likelihood of CF, the NBS program should alert the clinical care center to allow the parents to meet with a CF clinician at the time of the sweat-test visit. Ideally, the parents of any infant with a positive sweat-test result should be able to meet with a CF clinician before leaving the clinical care center. The CF clinician should be prepared to discuss the diagnosis, prognosis, immediate next steps for the parents, and a short-term plan that includes a visit to meet with the CF team, which will coordinate care with the infant’s PCP. Presentation of these recommendations in writing is helpful.

In some cases, particularly at laboratories that experience a low volume of sweat testing, parents will leave before results become available. A report of the diagnosis is then made, ideally, by a CF specialist to the PCP, who will be the primary route of communication to the parents. The PCP should have adequate information (or telephone numbers of relevant CF care centers) to address initial parent concerns and should facilitate the scheduling of a follow-up appointment with the CF team. For families of infants with a positive CF diagnosis, sweat tests should be arranged for all first-degree siblings and for any half-siblings who have signs or symptoms of CF or who have 2 parents known to be carriers.

**Negative CF Diagnosis**
As is characteristic of all NBS, most infants with a positive CF NBS result will not have CF. Because families of infants with “false-positive” screen results (in which the screening result is positive and the diagnostic sweat test is negative) will have no continuing support from the CF care center, sympathetic and accurate transmission of information to allay future concerns takes on a high level of importance.

- For a positive screen result that shows no mutations, counseling may be offered by the PCP or the CF care center; no genetic counselor is required. Because the combined screening and diagnostic-test results indicate that the infant is unaffected, no detected mutation, this counseling should convey the understanding that there is no increased risk of CF but that, as with all children, significant clinical signs should be evaluated. Possible explanations for the elevated IRT level such as low birth weight or Apgar score, prematurity, or race should be discussed.
- For a positive screen result that shows 1 mutation, counseling is optimally offered by a certified genetic counselor or CF clinician. Because the combined
screening and diagnostic-test results indicate that the infant is an unaffected carrier with at least 1 parent carrying a CF mutation, parent counseling should address the risk to their future pregnancies and whether the parents wish to undertake their own carrier testing. Implications for other family members should also be discussed, and the PCP should be informed that all first-degree siblings who display potential symptoms should have a sweat test performed. The carrier status of the infant should be kept available in the infant’s medical chart and, ideally, will be discussed with the individual when reproductive age is reached.

Insufficient Sweat for Analysis (QNS)
When a diagnosis cannot be made because of insufficient sweat collected, a person must be designated to communicate the QNS result and schedule the follow-up visit. If the parents have already been scheduled for a genetic counseling session during the same visit, the genetic counselor can help explain the report. If the parents have left the center before the QNS report or if genetic counseling was not scheduled, the PCP should be the designated liaison with the family.

Diagnostic Dilemmas
Ideally, the primary communication of an inconclusive evaluation and its meaning relative to the specific clinical situation is delivered by a CF specialist to the parents. When the PCP must deliver a complex communication, they should facilitate scheduling a follow-up with a CF specialist as indicated by the specialist; families need to be counseled about phenotypic variability associated with specific genotypes with relevant and accurate information about atypical or complex results.

Ambiguous diagnostic tests, discordant diagnostic tests, or inability to complete diagnostic tests heightens anxiety by prolonging the time to resolution of the positive screening result. In some instances, ambiguous diagnostic-test results may be caused by the presence of a “variant” of CF that places the family in a prolonged period of diagnostic uncertainty without a clear compensating benefit attributable to the screen or the evaluation. Ultimately, some of these infants may show classic signs of CF, some may never express disease, and some may develop mild phenotypes (eg, isolated genital bilateral absence of the vas deferens) so that the interventions prompted by CF NBS might have limited clinical value. Debate continues on the appropriateness of identifying, labeling, following up, or treating infants in the absence of a clear understanding of the prognosis of their particular disorder. Information gained from ongoing experience should help improve decision-making in choosing screening algorithms that meet NBS-program goals for level of disease to be detected.

Genetic Counseling
Genetic counseling is a necessary and vital part of follow-up care for parents of children who have a CFTR mutation revealed by a positive CF NBS result. Studies have demonstrated that psychosocial sequelae of false-positive NBS results can occur, including those resulting from CF-carrier identification. Genetic counseling promotes understanding of the results and offers parents an important venue for psychosocial support and for addressing implications regarding reproductive choices for themselves and other family members.

Some states ensure that parents of screen-positive newborns have access to genetic counseling at CF care centers on the day of the sweat test. Same-day counseling may be provided before sweat testing, while parents await results, or (optimally) after results of the sweat testing are available. However, availability and funding of genetic counseling services varies. Some CF care centers offer genetic counseling on the day of the sweat test, and others inform families that they may speak with a genetic counselor at a later date. (Note that genetic counselors who practice at a children’s hospital should ensure that the parents’ PCPs are included in decisions about any parent testing.) Scheduling the genetic counseling with the sweat test ensures transmission of information to the parents and allows knowledgeable caregivers to address the parents’ immediate concerns about their child’s health and begin to establish a relationship with the family of a child with a positive or borderline sweat-test result. However, trying to coordinate sweat testing together with a genetic counseling appointment may result in some delay. In addition, providing counseling on a subsequent day might result in longer-lasting understanding of the newborn screen results and family risk if the parents’ acute anxiety from the sweat test has dissipated. On the other hand, offering genetic counseling on a separate day may result in a lost opportunity for counseling if parents cannot or do not return; ~70% of families who were offered separate-day genetic counseling in a Massachusetts NBS program never accessed the service.

Providers of genetic counseling related to CF NBS should have training in genetics and experience with caring for patients with CF to ensure that families receive complete care. The family’s emotional needs should be addressed in a culturally sensitive manner, and risk assessment should include available information on families of similar ancestry. For example, in the families of infants who are identified as CF carriers, a parent who carries the CFTR mutation has been shown to have increased anxiety/guilt as compared with a parent who does not carry a CFTR mutation. It should be explained that the carrier parent’s subsequent pregnancies and those of relatives are at an increased risk for CF, relative to the general population. In a small percentage of cases, both parents are carriers, although the screen-
positive newborn inherited a mutation from only 1 parent. These couples have a 25% chance that a previous child has or subsequent child will have CF. Parental CF DNA analysis can be offered to identify the carrier parent and to distinguish the 1-carrier couple from the 2-carrier couple. It is important to address this issue sensitively, because parental testing can result in detection of misattributed paternity.

Several states use multiple-mutation panels, which increase the number of carriers identified by the screening process.18 The inclusion of alleles (such as R117H76 and I148T77) with inadequately understood effects necessitates detailed knowledge by the genetic counseling provider so that parents are properly informed of their child’s diagnostic or carrier status and are provided with correct information regarding their chances of having a child with CF in the future.

Quality Assurance of CF NBS: Tracking and Outcomes Assessments

CF NBS is beneficial only if infants identified by the screen receive a prompt diagnostic evaluation. The responsibility for tracking the infant to assure compliance with the recommendation for evaluation and to document diagnostic outcome is typically that of the state NBS program or its associated maternal and child health bureau. To enable proper data collection and facilitate this tracking, the following must be reported to the state NBS program:

- diagnostic outcome on all NBS-positive infants;
- any CF diagnosis on an individual who was screened by CF NBS regardless of the screening result; and
- any diagnostic result that is discordant with the result of the CF NBS (eg, a negative sweat-test result [<30 mEq/L] in an infant whose NBS showed 2 severe CF mutations).

- In some models, additional data are collected for long-term outcomes assessments as a measure of the clinical utility of the overall program. Collection of outcomes data for such purposes constitutes human-subjects research, and protocols should be reviewed by appropriate human-subjects research boards. Outcomes assessments will help quantify the benefits of new approaches or treatments and help detect unanticipated risks such as the early acquisition of Pseudomonas, which occurred in 1 CF NBS trial.23 The tracking in that trial resulted in a critical clinical recommendation to isolate newborns with positive CF NBS results from other patients in the CF care center to prevent serious health care–acquired infections.51,78
- CF care centers should report sweat and genetic testing results to the state NBS centralized system for cohort analysis, including cases in which CF is diagnosed in children with negative NBS results. False-negative screen results caused by an IRT level below the cutoff value, unidentified CFTR mutations, or human error can occur. In all cases, positive results of sweat tests performed as a result of clinical concern should be reported to the centralized NBS program. This, in turn, facilitates continuing evaluation and modification of screening algorithms and consideration of unanticipated benefits and risks after the implementation of CF NBS.

- The NBS program and the CF care centers need to work carefully as a team to collect the necessary data (Table 5); some data may need to be collected by both groups. It is beneficial for the various care centers in each state to work together to collect statewide information for the ongoing evaluation of the NBS program.

Financing CF NBS and Care

States fund NBS programs in a variety of ways.79 Many states set and collect fees for screening, and many use some public health funding to supplement fees. Specialty care after a diagnosis of CF is made is funded similarly to that for children affected with other disorders.

<table>
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<th>TABLE 5</th>
<th>Tracking Needed for Evaluation of Follow-up to CF NBS</th>
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<td>2. Attempts at additional contact</td>
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<tr>
<td>Diagnostic effectiveness of screen</td>
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<tr>
<td>1. Infants born and infants screened (per month/year)</td>
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<td>a. Negative sweat-test results (false-positive results)</td>
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<td>CF NBS algorithm sensitivity</td>
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<tr>
<td>1. False-negative results</td>
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<td>a. Determine why the screen failed to detect CF</td>
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<td>a. Children diagnosed with CF through NBS</td>
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<tr>
<td>Management of care center protocols</td>
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<tr>
<td>1. Date the infant entered care at CF care center</td>
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<td>2. Confirmation of implementation of appropriate care plan for unique NBS population</td>
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<tr>
<td>3. Confirmation that data are provided to CF Foundation’s patient registry including anthropometrics, cultures, hospitalizations, and complications</td>
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<tr>
<td>4. Confirm offer and receipt of genetic counseling (when applicable), documentation of obstacles to genetic counseling (time, lack of counselors, reimbursement)</td>
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CF care centers must be prepared to receive referrals from the screening program. Care centers and NBS-program staff should work together, therefore, to predict the number of infants who will screen positive and to outline protocols for their follow-up. In states that use IRT/DNA algorithms, the majority of screen-positive infants who do not have CF will be carriers; before initiating screening, the number of carriers expected should be calculated to identify resources for the parents who should be offered genetic counseling as well. From these estimates, center directors can determine the resources that will be needed for sweat testing, special profile interpretation, genetic counseling, and clinical care for infants who are determined to be affected.

Sweat-testing facilities may see a change in the number of referrals and referral patterns as a result of population-based CF NBS. In Wisconsin, the number of sweat tests ordered decreased after CF NBS implementation, presumably because of a decrease in sweat tests ordered by the PCP for CF-like symptoms; consequently, the number of facilities offering sweat testing was greatly reduced. In Massachusetts, the number of sweat tests increased when screening began and then diminished somewhat, although PCPs still order a considerable number of tests. CF care centers and NBS programs should work together to predict the number of sweat tests generated by CF NBS and decide where the tests would be performed to plan for appropriate staffing, while recognizing that sweat-test numbers may vary as the screen is implemented.

Screening algorithms designed to detect the highest number of infants with CF could overload resources available for communication, sweat testing, and counseling. Alternative screening plans may need to be considered if this results in long waits or travel times. Such alternatives might include use of mobile sweat-test laboratories that meet the same standards as those approved by the CF Foundation for standard sweat-test laboratories, quality-controlled local sweat collection that is then sent to a certified laboratory for analysis, a change in screening algorithm, or teleconference counseling.

Research Opportunities
CF NBS provides the opportunity and the responsibility to conduct research to improve treatment and outcomes in CF (Table 6). Epidemiologic/observational and therapeutic trials should be conducted. Because of the small number of infants diagnosed at each site, research projects will generally require multicenter collaboration, and some studies will require centralized coordination at the NBS-program level. NBS and early diagnosis may accelerate the adoption of new treatments for infants with CF, because it creates an opportunity to define a specific cohort from early in life for entry into clinical trials.

In addition to such clinical research, there must be continual evaluation of outcomes resulting from the initiation of CF NBS (Table 7) based on current clinical practices. This is a crucial step to maintaining high-quality CF care for this new population and will also help support the initiation and continuation of CF NBS programs throughout the United States.

Special Considerations
Community-Customized Mutation Panel
Ideally, mutation panels for screening should be based on the frequency of alleles present in the regional population. This can vary widely. In California, 50% of the

<table>
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<th>TABLE 6</th>
<th>Priorities for Future Research in Those Diagnosed With CF by NBS</th>
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<td>Development of sensitive, easily obtained outcome measures in infants with CF that correlate with disease progression</td>
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<td><strong>Therapeutic Trials</strong></td>
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<td>Early antipseudomonal intervention</td>
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<tr>
<td>Antiinflammatory therapies</td>
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<tr>
<td>Improved nutritional approaches or therapies</td>
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<tr>
<td>Airway-clearance medications/techniques/chest physiotherapy</td>
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530,000 live births are to Hispanic women, largely of Mexican origin, and 6% are to black women, whereas Massachusetts has a population that is 8% Hispanic and 8% black. The spectrum of CFTR mutation frequencies varies in populations of each ancestry, and a large proportion of CFTR mutations is still unidentified in Hispanic and black people. A recent study that used a 70- and 86-mutation panel reported a detection rate of 62% in black infants, 58% in Hispanic infants, 38% in Asian infants, and 81% in Native American infants in the United States compared with 85% in white infants and 86% in black infants, and 81% in Native American infants in the United States compared with 85% in white infants and 95% in Ashkenazi Jewish infants. Identification of infants with CF can be enhanced by choosing an appropriate mutation panel: a 75% detection rate can be achieved in black populations by screening for 16 “common white” mutations and 8 “common African” mutations. Differing detection rates are especially significant in states like California, where 33% of the 70 infants with CF projected to be identified each year without MI are expected to be Hispanic and 2% are expected to be black. In an attempt to detect at least 90% of newborns with CF in the 3 main ancestral groups that include Hispanic, non-Hispanic white, and black infants, the California Department of Health Services Genetic Disease Branch has designed a model CF NBS algorithm using a custom mutation panel developed from an analysis of the allele frequency of a cohort of affected patients with CF. When implemented, results from this screening algorithm will contribute to our understanding of the possible utility of customized population-based mutation panels for CF NBS.

**CFTR Mutations and Mild Disease**

The panel of CFTR mutations that the NBS program chooses for screening depends partially on the spectrum of disease that the regional program decides to detect. Because individuals with CF who have one class IV or V mutation may be asymptomatic or have a positive sweat-test result.

**Diagnostic Dilemmas**

**Borderline Sweat-Test Results**

Approximately 10% of newborns with CF that was detected through NBS using current DNA-mutation panels and diagnosed with CF by a CF clinician express sweat Cl− concentrations <60 mEq/L. Because sweat Cl− levels can change over time, tests resulting in a
borderline result should be repeated in 1 to 2 months. In the interim, the clinician should monitor nutritional status and growth for any impact by possible pancreatic insufficiency. Also, because individuals with CF and borderline sweat-test results may be susceptible to respiratory disease regardless of pancreatic function, vigilant monitoring for respiratory problems remains critical to enable the early interventions that improve outcome.

A moderate percentage (17%) of infants with borderline sweat-test results are found to possess 2 CFTR mutations when extended mutation analysis is performed. Some mutations that occur in the borderline-result group, such as 3849 + 10 kb C→T or A455E, are clearly associated with a disease phenotype, and infants with these mutations should be diagnosed with CF. When R117H (7T) mutation is one of the 2 CFTR mutations, diagnosis is more problematic because only some of the individuals with this genotype develop signs and symptoms of CF; evaluation of prospective data will help clarify the role of this mutation in NBS. It may be prudent to defer diagnosis of CF in these individuals but continue follow-up at a CF care center so that the diagnosis can be made if symptoms appear. Newborns with borderline sweat-test results who have only 1 or no identified CFTR mutation and newborns who have 2 CFTR mutations with sweat Cl\(^-\) levels <30 mEq/L should be followed up similarly. This situation may be complicated by the lack of commonly accepted protocols for follow-up of infants in these groups. (Normal, borderline, and abnormal sweat-test results with suggested follow-up are discussed in more detail by Parad and Comeau.)

In the absence of CF NBS, some infants with borderline diagnostic-test results may present later with an atypical CF diagnosis. Individuals with atypical CF tend to present with milder disease at an older age, with lower sweat Cl\(^-\) levels, pancreatic sufficiency, and mild class IV or V mutations. These individuals also probably survive longer. However, the natural history of atypical CF is not well defined, and the early identification of such individuals by NBS may provide much information about the condition over time. Clinical follow-up protocols are not well established for these individuals, and the potential positive impact of CF NBS on atypical CF has not been established. Nevertheless, these infants, once brought to the attention of the CF care community, should be monitored. The follow-up proposed for such infants includes (1) a thorough evaluation by a CF physician, (2) repeat sweat testing, (3) expanded CFTR mutation analysis for all disease-producing mutations (including classes IV and V) if the sweat Cl\(^-\) level remains elevated, and (4) continued follow-up at a minimum of 1 to 2 visits per year to monitor for any disease development.

**Negative Sweat-Test Results**

A sweat-test result that is within the reference range (<30 mEq/L) may sometimes occur in infants with 2 CFTR mutations that were detected by CF NBS. Because the combined screening and diagnostic-test results require sophisticated analysis to interpret, and because the infant continues to have a high risk of CF, a CF specialist should meet with the family to develop a plan for evaluation and possible treatment, and genetic counseling should be offered. The NBS program must be notified immediately of the discordant result to allow an early opportunity for quality control to ensure the validity of the screening result. Genetic counseling and testing of the parents and extended family may be suggested after acute care issues have been resolved.

Some positive-screened infants with negative sweat-test results may be identified to have CF only by later clinical presentations. Clinical follow-up in parallel with repeated sweat testing and extended mutation analysis is the appropriate strategy for resolving the diagnosis in such cases. It may be more cost efficient to perform the full gene-mutation analysis immediately to establish a diagnosis for those in this group, because negative results from a limited analysis would simply prompt a more extensive screen.

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To: Providers of care to newborns  
(with “out of range” CF newborn screening results)

From: New England Newborn Screening Program (NENSP)  
names 
Massachusetts CF Center Directors and representatives  
names

Re: Cystic Fibrosis (CF) Newborn Screening

Date:

Effective DATE, the Newborn Screening services that are offered to every infant born in Massachusetts includes the option of screening for early indicators of Cystic Fibrosis (CF). For the duration of time that CF newborn screening is offered as a pilot program per Department of Public Health regulations, parents of newborns will have the option to decline CF screening. Current experience shows that 98% of parents consent.

This letter includes the following information:

- Basic facts about CF
- Components of the NENSP CF newborn screening program

Enclosures to include when using letter to support “out of range” report

- “Action Sheet” for Primary Care Physician
- Information Sheet “For Parents and Families”
- Sweat Test Scheduling Information

APPENDIX 1
Example of letter to health care providers for newborns. Note that the letter to providers can be used as a stand-alone announcement of CF NBS. With attachments, this letter can be sent as a support document to providers receiving out-of-range results.
### Basic Facts about Cystic Fibrosis (CF)

- **CF** is the most common disorder of autosomal recessive inheritance in Caucasians.
- In general, it occurs in 1/3000 Caucasian, 1/6000 Hispanic, 1/10,000 African-American, and 1/90,000 Asian-American births.
- Only 15% of CF patients have neonatal clinical presentation with bowel obstruction (most commonly meconium ileus). Note that 80-90% of infants presenting with meconium ileus have CF.
- Of the remaining 85% of CF patients, without NBS 50% are diagnosed by 14 months.
- In Massachusetts, 80% of CF patients are diagnosed by age 5 years without NBS.
- The most common presenting symptoms are malabsorption diarrhea (due to pancreatic insufficiency) and failure to thrive, recurrent and chronic pulmonary problems, or a combination of both.
- CF pathophysiology is due to Cystic Fibrosis Transmembrane Conductance Regulator (CFTR) gene mutations (over 1,400 reported). The most common mutation is ΔF508. Abnormal CFTR protein disrupts chloride transport and water movement across secretory epithelial membranes.
- Abnormal secretions in pancreatic ducts, airways, intestines and vas deferens lead to blocked lumens, organ injury and dysfunction.
- Abnormal lung secretions lead to chronic respiratory tract infections with *S. aureus* and *P. aeruginosa*.
- High sweat chloride concentration (≥60 mEq/L) results from abnormal epithelial cells in sweat glands. Pilocarpine iontophoresis (Sweat Test) is the gold standard for diagnosis. DNA testing that reveals two CFTR mutations is also diagnostic.

In recent years, pediatric health care providers have done well in identifying CF patients for initiation of appropriate therapy. Recent data have suggested that newborn screening for CF (which results in early detection for the 85% of affected babies who do not have bowel obstruction) can lead to avoidance of malnutrition and improved height and weight. Although the potential for improved pulmonary outcome and increased longevity are suggested by early data, the conclusive results from these ongoing studies are not yet available. In your state, newborn screening is projected to identify about # CF-affected infants each year.

See also *state specific reference material*

Components of the NENSP CF Newborn Screening Program

<table>
<thead>
<tr>
<th>The CF newborn screen may include up to three components:</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. <strong>Level of serum trypsinogen (IRT)</strong>, a pancreatic enzyme that is elevated in the serum of most CF-affected newborns. Samples with values above the 95th percentile will proceed to DNA assay (part 2).</td>
</tr>
<tr>
<td>2. <strong>DNA assay</strong>, for detection of any of # common CFTR gene mutations and when appropriate, # DNA variants. Detection of one or more of CFTR mutations or an IRT &gt;99.9th percentile will result in newborns being referred for sweat testing at a CFF Center (part 3)</td>
</tr>
<tr>
<td>3. <strong>Sweat Test</strong> (Pilocarpine iontophoresis), for determination of sweat chloride concentration. Sweat chloride concentration ≥ 60 mEq/L suggests a diagnosis of CF. Borderline results (30-59 mEq/L) or inadequate sweat quantity for analysis (QNS) may prompt repeat testing.</td>
</tr>
</tbody>
</table>

The NENSP brochure, *Answers to common questions about newborn screening* (available in 9 languages), describes information about all disorders included in newborn screening, and details the CF newborn screening pilot program for parents. This information is also available on the Program's web site ([www.umassmed.edu/nbs/](http://www.umassmed.edu/nbs/)).

**Expected number of referrals and case detections:**
(estimates based on 100% participation)

- Each day, # screened baby will be referred for sweat testing (# babies/year)
- Each year, # babies with CF will be identified
- A baby referred with a **POSITIVE screening** result has a 1/# chance of having CF (risk is category dependent: see below)

**Limitations of CF Newborn Screening**

CF newborn screening has limitations. Keep in mind that this screening algorithm is not a diagnostic test. No screening algorithm is perfect in its ability to detect all affected individuals while also producing no false positive results.

| 1.) CF screening is not mandated, so the parents may have declined testing |
| 2.) We anticipate that 1 CF affected baby per year may not be detected by this screen (i.e., would have a **FALSE NEGATIVE** CF newborn screening result) and will present later in life with CF symptoms. A sweat test remains clinically indicated for evaluation of any child with symptoms suspicious for CF. Clinicians at the NENSP and CF centers will remain available to consult on such clinical scenarios. |

---

* Alternative: description of IRT/IRT algorithm.
* Specify your state’s program.
New England Newborn Screening Program

ACTION SHEET
for Primary Care Provider

INTERPRETATION OF NEWBORN SCREENING REPORT:
Your patient has a positive (out of range) CF newborn screening result.

This result means that the infant is at increased risk for Cystic Fibrosis (CF). The specific risk is dependent on the exact category of positive screen as indicated below.

- **Category C**: IRT >95\textsuperscript{th} percentile and two mutations were detected. The infant most likely has CF.

- **Category B**: IRT >95\textsuperscript{th} percentile and one mutation was detected. The infant has about a 1/30 chance of CF. Infants with Category B results who are then shown to have CF have a second mutation that is not included in the screen. Infants with Category B results whose diagnostic test shows them to be unaffected are carriers.

- **Category A**: IRT >99.9\textsuperscript{th} percentile and no mutations detected. The infant has a <1/100 chance of CF. Because the infant’s IRT result is so high, it’s possible that the infant may be affected with two CFTR mutations that are not included in our screen. Perinatal stresses can also cause high IRT levels.

<table>
<thead>
<tr>
<th>RECOMMENDED NEXT STEPS BY PRIMARY CARE PHYSICIAN:</th>
</tr>
</thead>
<tbody>
<tr>
<td>• Contact family. Report positive newborn screening result and refer infant for diagnostic sweat test (with parent genetic counseling if a mutation was detected)</td>
</tr>
<tr>
<td>• Contact CF Center. Schedule sweat test; when scheduling, indicate that the referral is because of a positive newborn screening result.</td>
</tr>
</tbody>
</table>

Note: There is no age-specific criterion for sweat testing. Ideally, infants will have attained a weight of 2 kilograms prior to the sweat test. The likelihood that the sweat test result on a young infant will be complete is very good. Insufficient sweat for analysis (QNS) rates vary by center but in general, more than 90\% infants should have valid test results after one visit.

If you have any questions or need further assistance, please contact the New England Newborn Screening Program at 617-983-6300.
For Parents and Families
My Baby had a positive CF Newborn Screening Test

What is CF Newborn Screening? CF newborn screening tests help to find babies who might have cystic fibrosis. Most babies with positive newborn screening tests do not have CF. Babies who do have CF and who are found early can be treated early.

What does my baby’s positive CF newborn screening test mean? It means that your baby needs a special test so that you can know if your baby has CF. The special test is called a sweat test.

Where do I get a sweat test for my baby? We recommend that experts at a CF Center do the sweat testing. At a CF Center, staff members know how to do the test on young babies. In addition, there will be support staff at the Center who may be able to provide you with genetic counseling about your baby’s CF newborn screening test or who will be able to help if the sweat test result is not clear.

I had a negative CF blood test when I was pregnant. Does my baby still need a sweat test? Yes. Any baby whose newborn screening result is positive should have a sweat test.

How is the sweat test done? The sweat test is simple and should not hurt your baby. If you yourself had a sweat test, your skin might feel warm and tingly for about five minutes during the test. Before the test, the technician will apply a chemical that causes sweating to a small area on your baby’s arm or leg. Then, an electrode is attached to stimulate a weak electrical current. Your baby’s sweat is collected on a piece of filter paper or in a plastic coil. The collected sweat is then sent to the lab and tested. It takes about one hour from start to finish.

Do I need to do anything to prepare my baby for the sweat test? Do not use any lotions or creams on your baby’s arms or legs on the day of the test. Any regular medications may be continued and will have no effect on the test results. Because clinic rooms can be chilly, bring an extra blanket or sweater and hat to help keep your baby warm during the test.

How will I know the results of the sweat test? That depends on how the CF Center works. At some Centers, sweat test results are phoned to your baby’s doctor at the end of the day and the your baby’s doctor will contact you. At other Centers, you may have a genetic counseling appointment before you leave and sometimes the genetic counselor will report the results to you. You can ask ahead of time so that you know what to expect.

When I have the results of my baby’s sweat test, will I know if my baby has CF? Most of the time the results of your baby’s sweat test will clearly tell you whether your baby has CF or not. Sometimes, the sweat test will have to be repeated.

APPENDIX 2
Information for parents of an infant with a positive CF NBS result.
INTERPRETATION OF
Cystic Fibrosis Newborn Screening Results
IN RESPONSE TO CLINICAL CONCERN

1. “In Range” results reported to you in a single mailing:

<table>
<thead>
<tr>
<th>Targeted congenital disorder/Analyte Tested</th>
<th>Results Within Range</th>
<th>Results Out of Range</th>
</tr>
</thead>
<tbody>
<tr>
<td>Cystic Fibrosis/CF Percentile</td>
<td>a % that is ≤ 95</td>
<td></td>
</tr>
</tbody>
</table>

**Interpretation:** No DNA testing was prompted by the immunoreactive trypsinogen (IRT) result; the IRT level was ≤ 95th percentile. This in-range screening result has a negative predictive value of 99.99% for cystic fibrosis. Note that any baby with this result still has at least a 1/50 chance of being a CF carrier.

2. “IN RANGE” results reported to you in a second mailing:

First mailing’s result:

<table>
<thead>
<tr>
<th>Targeted congenital disorder/Analyte Tested</th>
<th>Results Within Range</th>
<th>Results Out of Range</th>
</tr>
</thead>
<tbody>
<tr>
<td>Cystic Fibrosis/CF Percentile</td>
<td>~ PENDING – FINAL REPORT WILL FOLLOW</td>
<td></td>
</tr>
</tbody>
</table>

Second mailing’s result:

<table>
<thead>
<tr>
<th>Targeted congenital disorder/Analyte Tested</th>
<th>Results Within Range</th>
<th>Results Out of Range</th>
</tr>
</thead>
<tbody>
<tr>
<td>Cystic Fibrosis Screen</td>
<td>IN RANGE</td>
<td></td>
</tr>
</tbody>
</table>

**Interpretation:** DNA testing was performed on this specimen and no mutations were detected from our panel of common mutations. In addition, though the immunoreactive trypsinogen (IRT) was > 95th percentile, it did not meet our criteria for recommending a sweat test in the absence of observed mutations. The negative predictive value of this test is very high. However, because we do not screen for all of the > 1000 mutations currently reported in the CFTR gene, there remains a very small possibility that this baby carries one or more mutations that are not included in our panel of common CFTR mutations.

3. “CF SCREEN POSITIVE” results.

All positive CF Newborn screening results will be phone reported to the infant’s healthcare provider. The phone consult will be followed by a faxed report of findings.

**ACTIONS:**

- **CF Screen positive results:** we will recommend that the infant undergo a confirmatory sweat test.
- **“IN RANGE” results:** In range results are considered normal, and our Program recommends no further action. HOWEVER, we remind clinicians that the CF newborn screening algorithm is not a diagnostic test, and will NOT detect all CF-affected infants. IF YOU HAVE PERSISTENT CLINICAL CONCERNS that could be consistent with CF, such as poor weight gain, malabsorption stools, recurrent respiratory tract infections, recurrent respiratory symptoms such as cough or wheezing, or if you have information that both parents are carriers of CF mutations, we encourage you to consider a sweat test, which is still considered the gold standard.

We would be pleased to discuss these results and any concerns about their interpretation with you.
Guidelines for Implementation of Cystic Fibrosis Newborn Screening Programs: Cystic Fibrosis Foundation Workshop Report
Anne Marie Comeau, Frank J. Accurso, Terry B. White, Preston W. Campbell, III, Gary Hoffman, Richard B. Parad, Benjamin S. Wilfond, Margaret Rosenfeld, Marci K. Sontag, John Massie, Philip M. Farrell and Brian P. O'Sullivan
Pediatrics 2007;119;e495-e518
DOI: 10.1542/peds.2006-1993

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